

### Flavor physics

Hadrons can also include heavy quarks, c, b. Lightest pseudoscalar ( $0^-$ ) states:

Charmed mesons (D):	quark content	mass $\sim m_c$
$D^0, \bar{D}^0$	$c\bar{u}, u\bar{c}$	1865
$D^+, D^-$	$c\bar{d}, d\bar{c}$	1870
$D_s^+, D_s^-$	$c\bar{s}, s\bar{c}$	1968

Bottom (beauty) mesons (B):

$B^+, B^-$	$u\bar{b}, b\bar{u}$	5279
$B^0, \bar{B}^0$	$d\bar{b}, b\bar{d}$	5280
$B_s^0, \bar{B}_s^0$	$s\bar{b}, b\bar{s}$	5367
$B_c^+, B_c^-$	$c\bar{b}, b\bar{c}$	6275

Also, vector states ( $1^-$ ): same names, just add "\*"

~~Quarkonium~~ Quarkonium:  $c\bar{c}$  or  $b\bar{b}$

Charmonium:

$\eta_c$	$0^-$	$c\bar{c}$	2984
$J/\psi$	$1^-$	$c\bar{c}$	3097

Bottomonium:

$\eta_b$	$0^-$	$b\bar{b}$	9398
$\Upsilon$	$1^-$	$b\bar{b}$	9460

+ many more excited states.

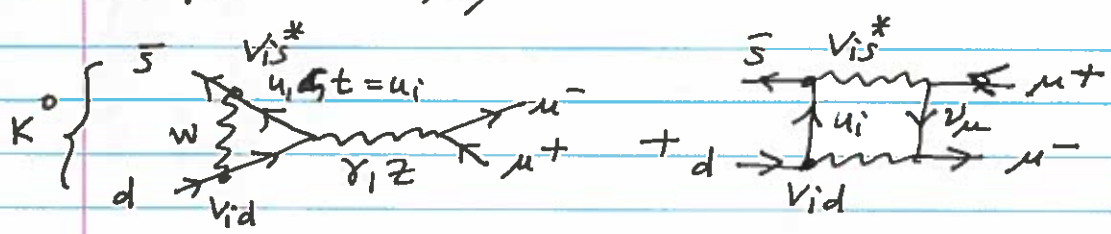
Similar to strangeness  $S$ , define other approx. conserved quantum numbers:

- charm  $C$ :  $c$  has  $C=+1$ ,  $\bar{c}$  has  $C=-1$
- bottomness  $B$ :  $b$  has  $B=-1$ ,  $\bar{b}$  has  $+1$ .

Processes that violate  $S, C, B$  must occur via weak charge current interaction. Allows us to measure & test consistency of CKM matrix as sole source of quark flavor violation (and CP violation).

Important class of observables: Flavor-changing neutral currents (FCNCs). These are ~~tree-level~~  $s \leftrightarrow d, b \leftrightarrow d, b \leftrightarrow s$  transition, forbidden at tree-level since only CC violates flavor, while neutral current ( $Z, \gamma$ ) preserves flavor. But FCNCs arise at one-loop order.

Example:  $K^0 \rightarrow \mu^+ \mu^-$



Matrix element has the form: (sum over virtual quark flavors)

$$i\mathcal{M} \propto \sum_i V_{id} V_{is}^* f(m_i/m_W)$$

If  $m_{\mu i}$  all the same (or equivalently if  $m_{\mu i}/m_W \rightarrow 0$ ), then

$$i\mathcal{M} \propto \underbrace{\sum_i V_{id} V_{is}^*}_{(V^\dagger V)_{ds}} \times (\text{independent of } i) = \mathbb{1}_{ds} = \delta_{12} = 0.$$

This is called the GIM mechanism (Glashow-Iliopoulos-Maiani).  
In the limit of massless quarks (compared to  $m_W$ ), FCNCs vanish.

t-quark is very massive, but contribution is suppressed since

$$|V_{td}V_{ts}| \approx 3 \times 10^{-4}$$

u,c-quark contributions suppressed by  $m_{u,c}/m_W \ll 1$ .

So FCNCs are both loop-suppressed & GIM-suppressed (or CKM-suppressed). Very sensitive probe of beyond the SM physics.

### Brief history of kaons

Many discoveries have been made by studying kaons.

(1961)

(1) Longevity of kaons  $\Rightarrow$  strangeness & eightfold way  $\Rightarrow$  quarks.

(2) Theta-tau puzzle & parity violation

$\sim 1950$ , two particles were discovered: " $\theta$ " & " $\tau$ ", with same mass.

Their decays are:

$$\theta^+ \rightarrow \pi^+ \pi^0$$

$$\tau^+ \rightarrow \pi^+ \pi^0 \pi^0, \pi^+ \pi^+ \pi^-$$

$$\text{Since } P(\pi) = -1,$$

$$P(\theta) = (-1)^2 = +1$$

$$P(\tau) = (-1)^3 = -1$$

(spatial wavefunction is  $L=0$ )

Radical idea:  $\theta^+$ ,  $\tau^+$  are the same particle ( $K^+$ )  
and weak interaction violates parity.

(3) Neutral Kaon mixing & the charm quark.

We have  $K^0, \bar{K}^0$  which are eigenstates of strangeness,  $S = -1, +1$ .

Although P was violated by weak interaction, CP "seemed" to be good symmetry. Write  $K^0, \bar{K}^0$  in terms of CP eigenstates:

First note:  $P|K^0\rangle = -|K^0\rangle$ ,  $C|K^0\rangle = |\bar{K}^0\rangle$ , and similar for  $|\bar{K}^0\rangle$ .  
 $CP|K^0\rangle = -|\bar{K}^0\rangle$ ,  $CP|\bar{K}^0\rangle = -|K^0\rangle$ .

Then  $|K_1\rangle = \frac{1}{\sqrt{2}}(|K^0\rangle - |\bar{K}^0\rangle)$ ,  $|K_2\rangle = \frac{1}{\sqrt{2}}(|K^0\rangle + |\bar{K}^0\rangle)$   
 $CP|K_1\rangle = -|K_1\rangle$ ,  $CP|K_2\rangle = +|K_2\rangle$

In the  $|K^0\rangle, |\bar{K}^0\rangle$  basis Hamiltonian written as

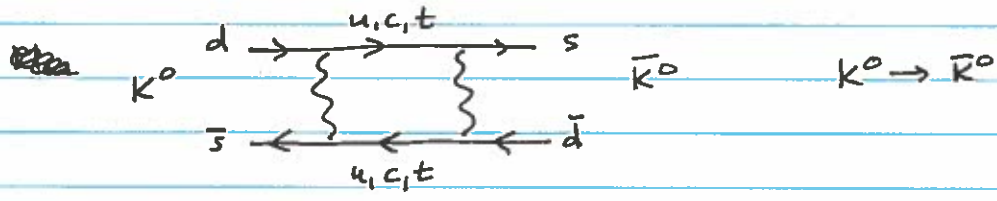
$$H = \begin{pmatrix} m_K & M_{12} \\ M_{12}^* & m_K \end{pmatrix} + \text{decay terms.}$$

By CPT, diagonal entries must be the same. If CP is a symmetry, then  $M_{12} = \text{real}$ .

$$M_{12} = \langle K^0 | \hat{H} | \bar{K}^0 \rangle = \langle \bar{K}^0 | \hat{H} | K^0 \rangle = M_{12}^*$$

Eigenstates are  $|K_{1,2}\rangle$ ,  $m_{1,2} = m_K \pm M_{12}$ ,  $\Delta m = m_2 - m_1 = 2M_{12}$

$M_{12}$  mixes ~~edges~~ states of strangeness  $S \pm 1$ .  $\Rightarrow$  weak interaction



~~$$M_{12} \propto \sum_{ij} V_{id} V_{is}^* V_{jd} V_{js}^* f(m_i/m_w, m_j/m_w)$$~~

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Charm provides largest contribution  $\rightarrow$  allowed prediction of  $m_c$ .

### Problems with the SM

(1) Neutrino masses. Neutrinos are massless in SM (only  $\nu_L$  fields); but are observed to have a small ( $< eV$ ) mass that is non zero. Why are neutrinos so much lighter than other fermions?

We can include  $\nu$  masses straightforwardly by adding a Yukawa term and adding RH  $\nu$  fields,  $\nu_R^i$ .

$$\begin{aligned}
 \mathcal{L}_{Yukawa} &= - Y_{ij}^{(\nu)} \bar{\nu}_R^i L_L^j E H + h.c. \\
 &= - \bar{\nu}_R^i \frac{Y_{ij}^{(\nu)}}{\sqrt{2}} \nu_L^j \left(1 + \frac{h}{v}\right) + h.c.
 \end{aligned}$$

Diagonalize using biunitary transform:

$$\nu_{L,R}^i = (U_{\nu_{L,R}})_{ij} \nu'_{L,R}{}^j$$

$$\mathcal{L}_{Yukawa} = - m_i^{(\nu)} \bar{\nu}'^i \nu'^i \left(1 + \frac{h}{v}\right)$$

$\nu'_i$  fields are mass eigenstate fields,  
 $\nu_i$  fields are gauge eigenstate fields.

Now CC interaction is no longer diagonal for leptons:

$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} U_{ij}^* \bar{\nu}'^i \gamma^\mu e_L^j + h.c.$$

where  $U = U_L^\dagger U_R$  = PMNS matrix

Pontecorvo - Maki - ~~Na~~ Nakagawa - Sakata

But actually this is not the most general mass term.  
Since  $\nu_R^i \sim (1, 1, 0)$ , a singlet under  $SU(3)_C \times SU(2)_L \times U(1)_Y$   
we can include a Majorana mass term for  $\nu_R$ .

$$\mathcal{L}_{\text{Majorana}} = -\frac{1}{2} M_{ij} (\bar{\nu}_R^i \nu_R^{cj} + \bar{\nu}_R^{ic} \nu_R^j)$$

C = charge conjugation. Notation:  $\nu_R^c = (\nu_R)^c = (P_R \nu)^c$   
not  $P_R(\nu^c)$ .

Let's just consider one flavor for simplicity.

$$\mathcal{L}_{\nu \text{ mass}} = -m (\bar{\nu}_R \nu_L + \bar{\nu}_L \nu_R) - \frac{1}{2} M (\bar{\nu}_R \nu_R^c + \bar{\nu}_R^c \nu_R)$$

note:  $\bar{\nu}_R \nu_L = \bar{\nu}_L^c \nu_R^c$ ,  $\bar{\nu}_L \nu_R = \bar{\nu}_R^c \nu_L^c$ ;  $m = \frac{yV}{\sqrt{2}}$  Dirac mass

$$\Rightarrow \mathcal{L}_{\nu \text{ mass}} = -\frac{1}{2} (\bar{\nu}_L^c, \bar{\nu}_R^c) \begin{pmatrix} 0 & m \\ m & M \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R^c \end{pmatrix} + \text{h.c.}$$

Diagonalize: we get two Majorana neutrinos; ~~one Dirac~~  $\nu$  &  $N$ .

~~$\mathcal{L}_{\nu \text{ mass}} = -\frac{1}{2} m_\nu \bar{\nu}^c \nu - \frac{1}{2} M_N \bar{N}^c N$~~

$$\mathcal{L}_{\nu \text{ mass}} = -\frac{1}{2} m_\nu \bar{\nu}^c \nu - \frac{1}{2} M_N \bar{N}^c N$$

where  $\nu \approx \begin{pmatrix} \nu_L \\ \nu_L^c \end{pmatrix}$ ,  $N \approx \begin{pmatrix} \nu_R \\ \nu_R^c \end{pmatrix}$  In  $M \gg m$  limit:

$M_N \approx M$ ,  $m_\nu = \frac{m^2}{M}$  Eigenvalues of mass matrix.

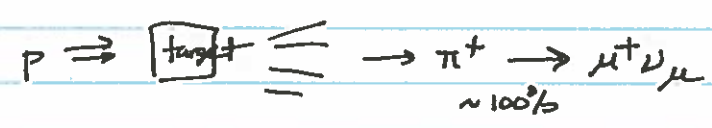
Neutrinos are light because singlet neutrinos can acquire a very heavy mass  $M$ .

say  $yV \approx 1 \text{ GeV}$ ,  $M = 10^9 \text{ GeV} \Rightarrow m_\nu = 1 \text{ eV}$ .  
( $y \sim 10^{-2}$ )

For Dirac neutrinos,  $y \lesssim 10^{-12}$  — weirdly small.

### Experimental consequences:

(1)  $\nu$  oscillations (Majorana or Dirac). e.g.  $\nu$  beam experiment



$$|\nu_\mu\rangle = U_{21}|\nu_1\rangle + U_{22}|\nu_2\rangle + U_{23}|\nu_3\rangle$$

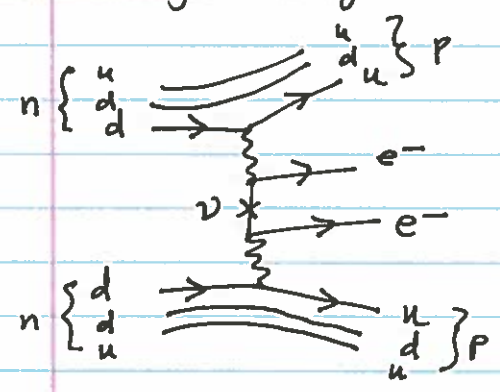
flavor eigenstate = linear combination of mass eigenstates

Each mass eigenstate evolves with its own time evolution  $e^{-iE_i t}$

$\Rightarrow$  linear combination changes as  $\nu$  propagates  
can have probability to be observed as  $\nu_e$  or  $\nu_\tau$ .

(2) neutrinoless double  $\beta$ -decay. ( $0\nu\beta\beta$ )

Majorana only.



nucleus with  $N$  neutrons,  $Z$  protons  
 $\rightarrow N-2$  neutrons,  $Z+2$  protons  
 $+ 2e^-$

relies on fact that  $\nu$  violates lepton number.

(2) What is the origin of flavor? Why 3 generations?  
 Why huge hierarchy between  $y_e \approx 3 \times 10^{-6}$  &  $y_t \approx 1$ ?

Why are the CKM & PMNS matrices so different?

$$|V_{CKM}| = \begin{pmatrix} 0.97 & 0.23 & 0.004 \\ 0.23 & 0.97 & 0.04 \\ 0.009 & 0.04 & 0.999 \end{pmatrix} \quad \text{closely aligned with } \mathbb{1}$$

$$|U_{PMNS}| \approx \begin{pmatrix} 0.82 & 0.54 & 0.15 \\ 0.35 & 0.70 & 0.62 \\ 0.44 & 0.45 & 0.77 \end{pmatrix} \quad \text{"anarchy"}$$

(3) Why 3 different gauge groups? Why does  $SU(2)_L$  violate parity? Can quarks & leptons be unified in a single representation? Why do fermions have seemingly ad hoc hypercharges?

- Pati-Salam model:  $SU(4) \times SU(2)_L \times SU(2)_R$   
 Restores parity. Quarks & leptons unified together.

$$\Psi_L \sim (4, 2, 1) = \begin{pmatrix} u_L^r & d_L^r \\ u_L^b & u_L^g \\ u_L^b & u_L^g \\ \nu_L & e_L \end{pmatrix} \quad \text{lepton number is the "fourth color"}$$

$$\Psi_R \sim (4, 1, 2) = \text{same with } R \rightarrow L$$

$U(1)_Y$  emerges as combination of  $SU(4)$  &  $SU(2)_R$  generators.

- Georgi-Glashow  $SU(5) \supset SU(3)_C \times SU(2)_L \times U(1)_Y$   
 Smallest simple gauge group unifying all three SM gauge groups  
 (Grand unified theory, GUT)

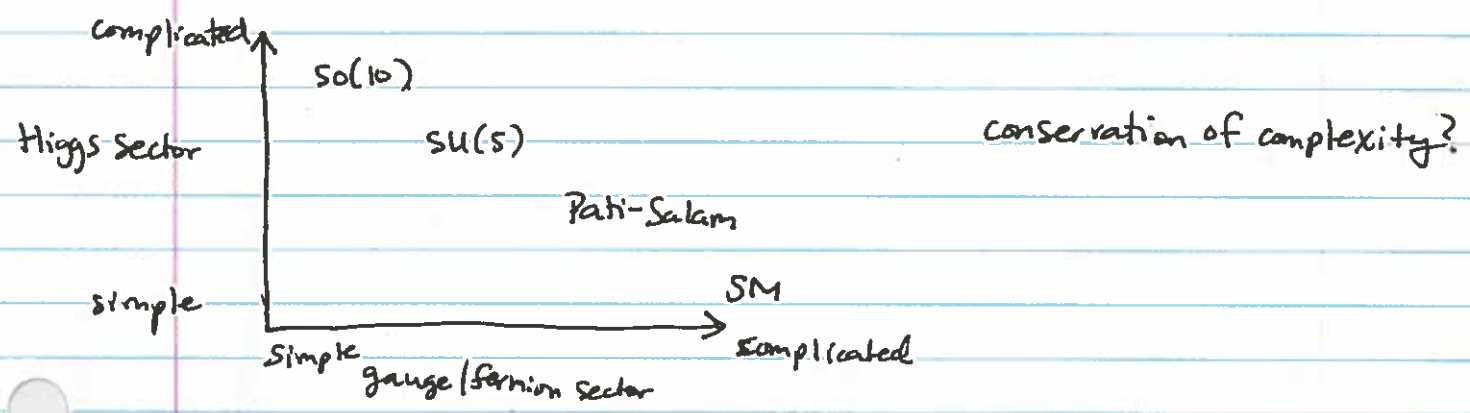
SM fermions fit in 2 reps of  $SU(5)$ :  $\bar{5} = \begin{pmatrix} (d_R^c) \\ \dots \\ L_L \end{pmatrix} \left\{ \begin{matrix} 3 \text{ colors} \\ (2) \\ (1) \end{matrix} \right.$

$$\text{and } 10 = \begin{pmatrix} 0 & (u_R^c)^b & -(u_R^c)^g & u_L^r & d_L^r \\ -(u_R^c)^g & 0 & (u_R^c)^r & u_L^g & d_L^g \\ \dots & \dots & \dots & u_L^b & d_L^b \\ 0 & \dots & 0 & u_L^b & d_L^b \\ 0 & \dots & \dots & 0 & e_L^c \end{pmatrix}$$



• Georgi's  $SO(10) \supset SU(5)$  ~~is~~  $\rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y$   
 $\supset SU(4) \times SU(2)_L \times SU(2)_R$

All SM fermions (+  $\nu_R$ ) of a single generation fit into a single 16-dim rep. ( 2 quarks (u,d) x 2 chirality (L/R) x 3 colors + 2 leptons (e,  $\nu$ ) x 2 chirality (L/R) = 16 )



Experimental signature: proton decay: new gauge bosons transition between quark & leptons.

(4) Hierarchy problem:

SM has one <sup>fundamental</sup> dimensionful parameter  $\mu \sim 100 \text{ GeV}$ .  
The only other <sup>dimensionful</sup> fundamental parameter we know is from gravity  $\rightarrow m_{pl} \sim 10^{19} \text{ GeV}$

Why is  $\mu/m_{pl} \ll 1$  ?