

ITEC2620 Introduction to Data Structures

Lecture 5a Recursive Sorting Algorithms

Overview

- Previous sorting algorithms were $O(n^2)$ on average
 - For 1 million records, that's 1 trillion operations – slow!
- What if we could get $O(n \log n)$
 - For 1 million records, that's 20 million operations
 - 50,000 times faster!

$O(n \log n)$ Sorting

- Where have we seen $O(\log n)$ before?
 - Binary search
- What is the difference between an $O(n)$ algorithm and a $O(\log n)$ algorithm?
 - $O(\log n)$ achieves leverage
 - What is leverage?

Achieving Leverage I

- Linear search
 - Each unit of effort achieves one unit of work
 - First compare eliminates one location
 - Second compare eliminates one location
 - Third compare eliminates one location
 - Fourth compare eliminates one location

Achieving Leverage II

- Binary search
 - Each unit of effort achieves twice as many units of work
 - First compare eliminates one location
 - Second compare eliminates two locations
 - Third compare eliminates four locations
 - Fourth compare eliminates eight locations

Achieving Leverage III

- How is leverage achieved?
 - Divide and conquer
- Each division allows leverage
 - Search the middle (one division)
 - Search the middle of each half (two divisions)
 - Search the middle of each quarter (four divisions)

Recursive Sorting

- Split the elements into smaller divisions
- Partially sort each division (using the same algorithm)
- Use/trust recursion to put everything back together
- Each divisions allows leverage
 - Cannot achieve leverage with a simple algorithm that operates on the whole set

Quicksort Algorithm I

- Pick an element and partially sort it
 - Move all larger elements to one side, and all smaller elements to the other
 - Create two divisions
 - Effort
 - Compare all (remaining) elements
 - Work
 - Get one element into final position

Quicksort Algorithm II

- Pick and partially sort an element in each division (2)
 - Move all elements as before (recursion)
 - Create four divisions
 - Effort
 - Compare all (remaining) elements
 - Work
 - Get two elements into final position

Quicksort Algorithm III

- Pick and partially sort an element in each division (4)
 - Move all elements as before (recursion)
 - Create eight divisions
 - Effort
 - Compare all (remaining) elements
 - Work
 - Get four elements into final position

Quicksort Algorithm IV

- Each division is being (partially) sorted with the same algorithm
 - This is the recursive sub-case
- What's the base case?
 - What is a trivial sorting problem?
 - Sort 1 element
 - Sort 0 elements

Quicksort Algorithm V

- Effort to sort each element is cut in half with each level of recursion
 - Equivalently, we get twice as much work done for our effort
- How many times can we cut something in half?
 - $O(\log n)$

Quicksort Pseudocode I

```
public static void quicksort (int[] ar, int left, int right)
{
    // base case – 0 or 1 elements to sort
    if (left+1 >= right)
        return;

    // pick an element (in this division)
    int pivot = something;
```

Quicksort Pseudocode II

```
// the partiallySort method moves all larger elements
to one side and all smaller elements to the other
pivot = partiallySort(ar, pivot, left, right)

// continue recursively with the two new divisions
quicksort(ar, left, pivot-1);
quicksort(ar, pivot+1, right);
}
```

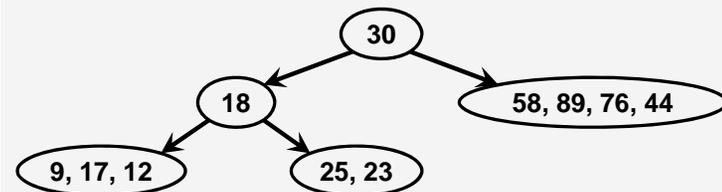
Quicksort Visualization I

76	58	25	18	12	30	44	17	89	9	23
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- Pick a pivot (30)
- Partially sort it
 - Smaller on left, larger on right

9	17	25	18	12	23	30	58	89	76	44
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Quicksort Visualization II



Partiallysort Pseudocode I

```
public static int partiallySort
(int[] ar, int pivot, int left, int right)
{
    int pivotValue = ar[pivot];

    // move the pivot to a safe place
    swap (ar, pivot, right);
```

Partiallysort Pseudocode II

```
// make two divisions
while (moreToSwap)
{
    int larger = findLargerOnLeft();
    int smaller = findSmallerOnRight();
    swap (ar, larger, smaller);
}
swap (ar, right, final);
return final;
}
```

Partiallysort Visualization

76	58	25	18	12	30	44	17	89	9	23
76	58	25	18	12	23	44	17	89	9	30
9	58	25	18	12	23	44	17	89	76	30
9	17	25	18	12	23	44	58	89	76	30
9	17	25	18	12	23	30	58	89	76	44

Mergesort Algorithm I

- Divide what you have to do into two halves/divisions
- Sort each half/division
- Merge the two halves/divisions into a fully sorted set

Mergesort Algorithm II

- Each half/division will be sorted by the same algorithm as the overall set
 - This is the recursive sub-case
- What's the base case?
 - What is a trivial sorting problem?
 - Sort 1 element
 - Sort 0 elements

Mergesort Algorithm III

- Each upward merge sorts twice as much
- How many times can we cut something in half?
 - $O(\log n)$

Mergesort Pseudocode

```
public static void mergesort (int[] ar, int left, int right)
{
    if (left+1 >= right)
        return;

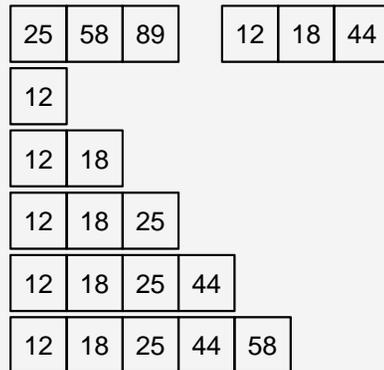
    mergesort (ar, left, middle);
    mergesort (ar, middle+1, right);

    merge(ar, left, middle, right);
}
```

Merge Pseudocode

```
public static void merge (int[] ar, int left, int m, int right)
{
    for (int i = 0; i < ar.length; i++)
    {
        if (leftSideSmaller)
            ar[i] = temp[leftSide];
        else
            ar[i] = temp[rightSide];
    }
}
```

Merge Visualization



Readings and Assignments

- Suggested Readings from Shaffer (third edition)
 - 7.4, 7.5