



# Donation of Securities Approval Form

## GENERAL INSTRUCTIONS

**IMPORTANT: To avoid delays in processing transfers of securities, please (1) fax this form to York University (Attn: Tina Dang, Senior Financial Analyst Advancement Services, AND Marisa Barlas, Associate Director Advancement, Gift Planning), prior to your broker making the transfer, and (2) deliver original to your broker.**  
*Please do not send this form directly to IA Private Wealth Inc.; IA Private Wealth Inc. may act only on York University's direction.*

York University Fax: (416) 650 - 8220  
 Email: [dangt@yorku.ca](mailto:dangt@yorku.ca) and [barlas@yorku.ca](mailto:barlas@yorku.ca)

## DONOR INFORMATION

Donor Name:		Please designate my gift to:	
Name of Owner of Securities if Different From the Donor:			
Address:			
City:		Province:	Postal Code:
Telephone:	Ext:	Fax:	Email:

## DESCRIPTION OF SECURITY

Type: <input type="checkbox"/> Publicly Listed Stock	<input type="checkbox"/> Bonds	<input type="checkbox"/> Debentures	Other (Please Specify)
Quantity:	Name of Security:		
CUSIP /Symbol (for Electronic Transfer):	CUID # (If Bonds):	CODE CTRA (If Government Bonds):	
Reference/Account #:	FINS #:		

## BROKER INFORMATION (Name of Broker, Agent, or Custodian who will Effect the Transfer)

Firm:		Contact:	
Telephone:	Ext:	Fax:	Email:

## AUTHORIZATION

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
 (of Security Owner)

## TRANSFER INSTRUCTIONS – FOR USE BY BROKER ONLY

**NOTE: Electronic transfer is preferred for the delivery of securities. To send securities electronically, system specifications must be set up by the sender and the receiver. The broker or transfer agent should make the transfer as follows:**

**IA Private Wealth Inc.**  
**Suite 106 – 3950 14th Avenue**  
**Markham, ON L3R 0A9**  
**Attention: Raymond Choo      Tel: (416) 410-4098      Fax: (416) 848-0728**

Receiving Institution: **IA Private Wealth Inc.**      Dealer: **7923**      REP: **SGKQ**  
 Name of Account: **York University**      Account#: **38DFSMA**      CUID#: **NBCS**      DTC#: **5008**  
 BN/Registration Number: **11930 6736 RR0001**

York University's Standing Instructions to IA Private Wealth Inc. are to receive and sell each gift of securities: (1) validate York's authorization, set up for and confirm receipt, determine closing fair value of the transferred-in gift of securities, (2) liquidate/redeem gifted securities in full within a reasonably prompt time following settlement, with due consideration to constraints including redemption dates and liquidity. The Standing Instructions hold unless communicated otherwise. Authorization from a York University designated signing authority is required to proceed. This form has been duly received by York University Division of Advancement and forwarded to IA Private Wealth Inc. INITIALLED: \_\_\_\_\_