

LA&PS Research Support

November 2020

NOT FOR EXTERNAL DISTRIBUTION

liberal arts &
professional studies | **YORK U**



Vision for Research

- Equitable research support that reflects the intellectual and research diversity of all our units
- Realize the full potential of research capacity in LA&PS
- All faculty members and units enjoy an equally high level of support
- Strong performance using a range of relevant and meaningful metrics
- Specialized skillsets, enabling support for a wider range of grant programs, more diverse knowledge of research methodologies, and improved research communications and knowledge mobilization
- Expands our research supports and services, including staffing

Context

- In 2019, the Provincial Government communicated to all universities that in the near future **60% of provincial funding** would be tied to performance-based metrics, include research funding
- LA&PS includes researchers engaged in SSHRC, NSERC, CIHR and interdisciplinary research; however, this diversity is not reflected in the Faculty's funding performance or research support
- SSHRC: from 2013-14 to 2019-20 FY, the Faculty's **total awarded SSHRC funding declined** from \$6,511,755 to \$2,984,462 in 2019-20)
- NSERC: Recent growth in NSERC funding reflected in allocation of NSERC CRC, reflecting need for further investment in success of NSERC researchers and new hires

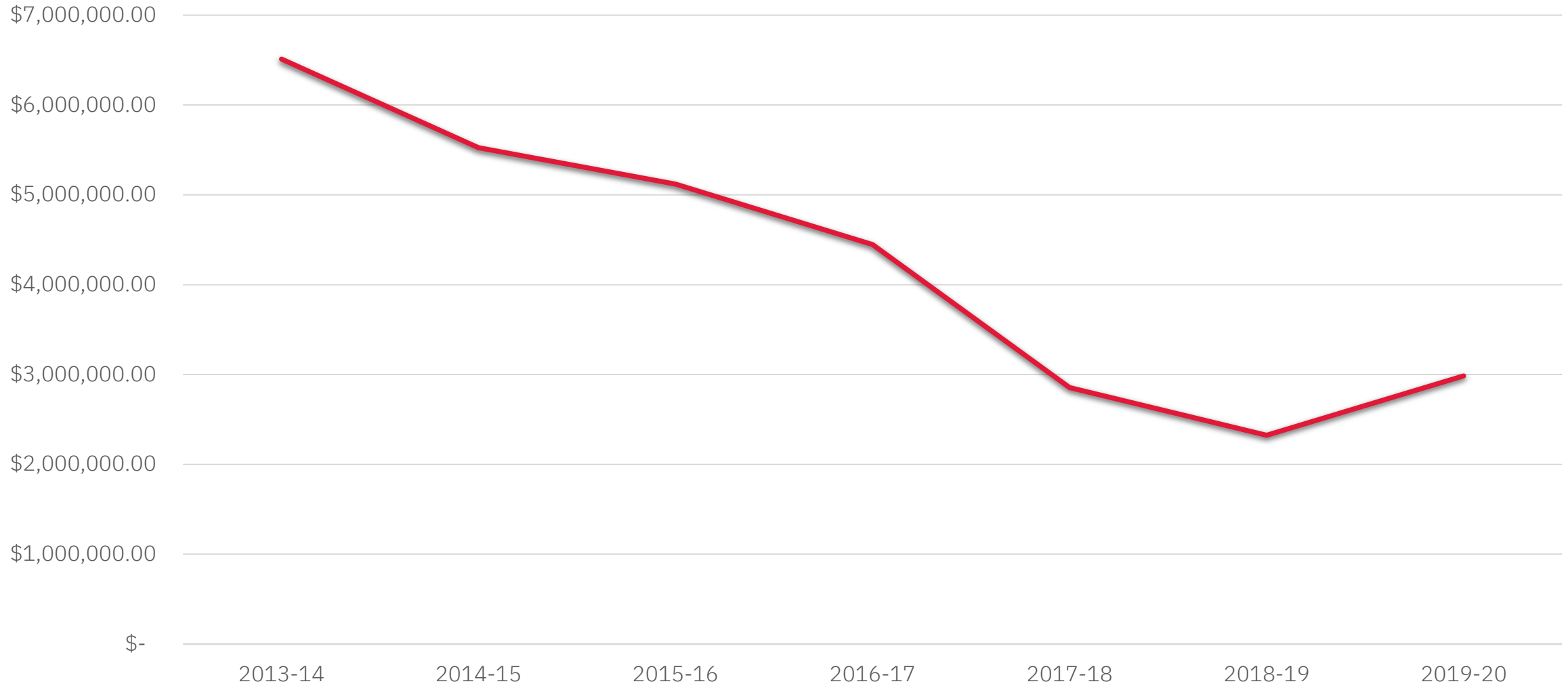
Context - Continued

- While our former research model worked well for some departments, there was **clear inequity, and gaps in support** for units and researchers, with many receiving little to no support
- Given the generalized nature, the structure lacked opportunities for more effective realignment of work, and for staff training, development and career growth and advancement
- Is informed by a multi-year Decanal review of research supports in the Faculty going forward to continue to strengthen our diverse research needs
- We will continue and extend the consultative process with faculty members and research units begun by the prior Associate Dean, Research & Graduate Studies, including assessment of research indicators and metrics, addressing concerns about SMA-3 and continued enhancement of research support

Human Resources Considerations

- Reorganization decisions impacting individual staff are treated as confidential, so broad consultation is not possible; also, employment parameters for members of one union cannot be determined by members of another union
 - However, now that the reorganization has been implemented, further consultation can be undertaken regarding continued enhancement of research support and services
- There are various standardized human resources process within the University, such as job closure, which are governed by the YUSA Collective Agreement
- Job closures do not involve the "firing" of staff and impacted staff remain employed by the University with options under the YUSA Collective Agreement, such as priority placement
- Priority placement, if selected, provides the staff member with priority access to new and vacant positions within LA&PS and the University more broadly
- Creation, evaluation and hiring of new positions is also governed by the YUSA Collective Agreement, including the rating of positions by a Labour and Management Committee

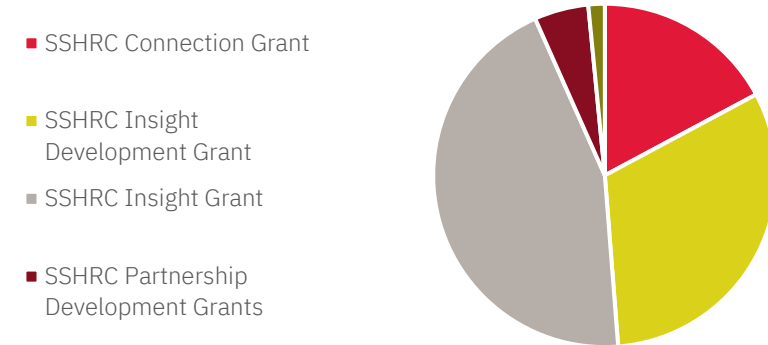
SSHRC Funding 2013-2020



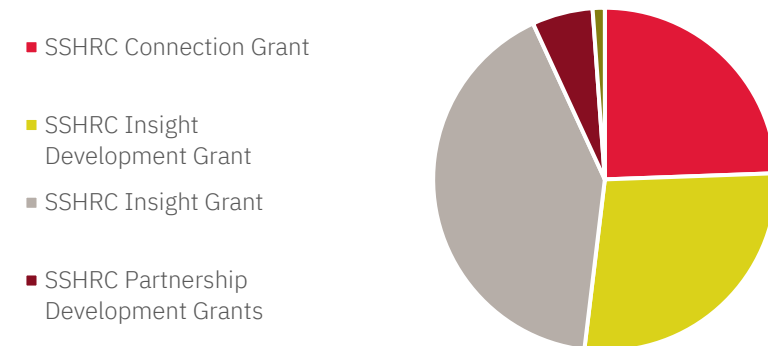
LA&PS SSHRC Grants: Applications 2012-2020

| LA&PS SSHRC Grants, 2012-2020 | | | | |
|--------------------------------------|--------------------|-------------------------|--------------|--------------------------------|
| | Total Applications | Successful Applications | Success Rate | National Average Success Rates |
| SSHRC Connection Grant | 111 | 64 | 58% | 55% |
| SSHRC Insight Development Grant | 204 | 72 | 32% | 35% |
| SSHRC Insight Grant | 288 | 108 | 40% | 34% |
| SSHRC Partnership Development Grants | 33 | 15 | 46% | 42% |
| SSHRC Partnership Engage Grant | 10 | 3 | 30% | 58% |
| Grand Total | 622 | 252 | 40% | 45% |

SSHRC Grants Submitted:
LA&PS 2012-2020

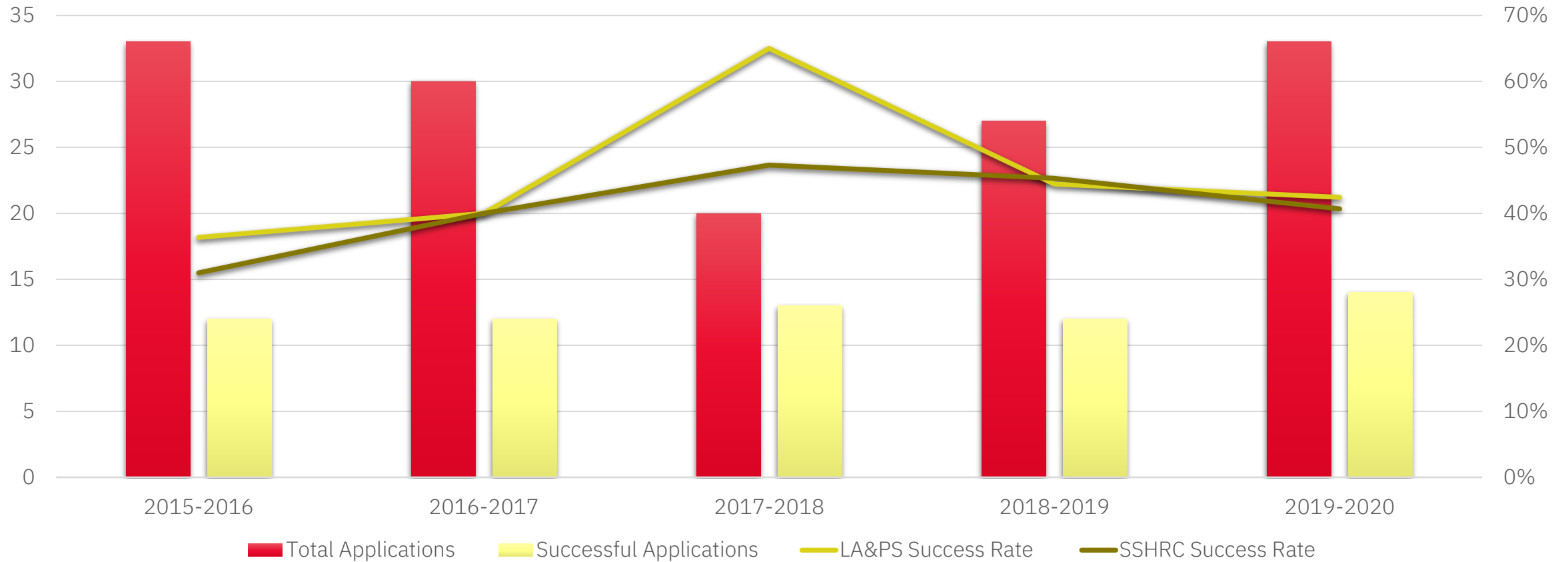


SSHRC Grants Awarded:
LA&PS 2012-2020



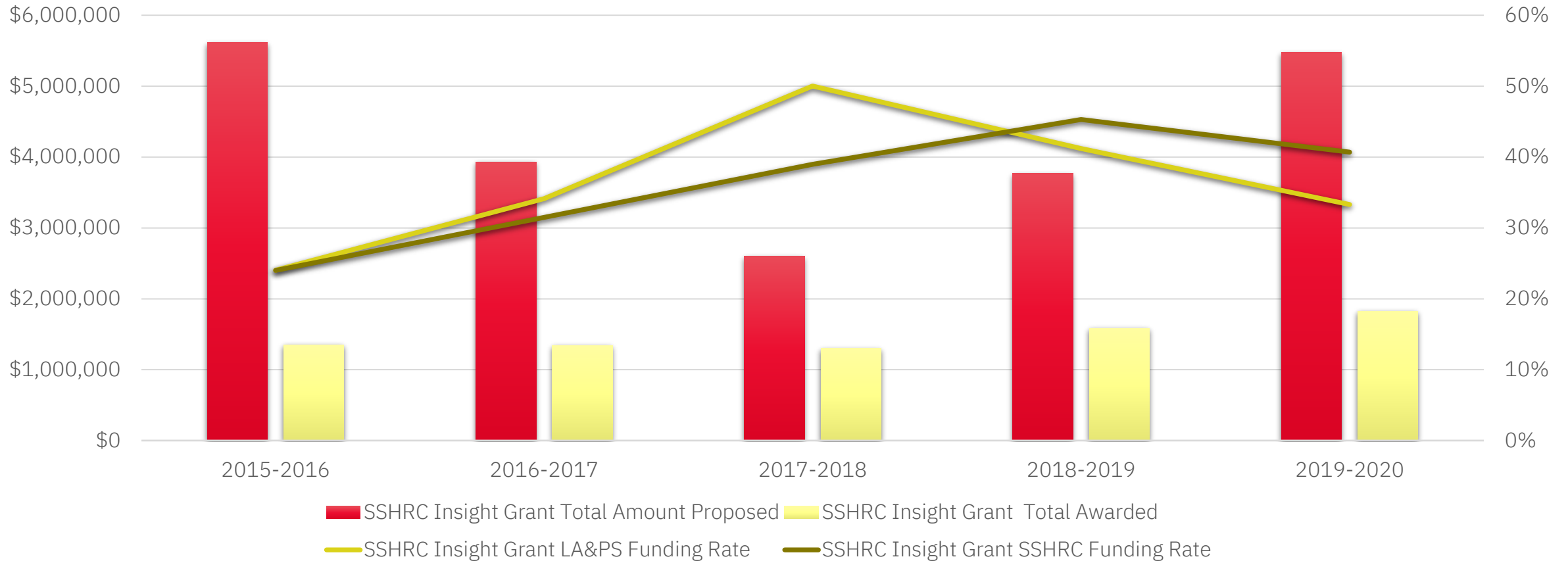
LA&PS Insight Grant Success Rates 2015-2020

LA&PS Funding: SSHRC Insight Grant Success Rates 2015-2020



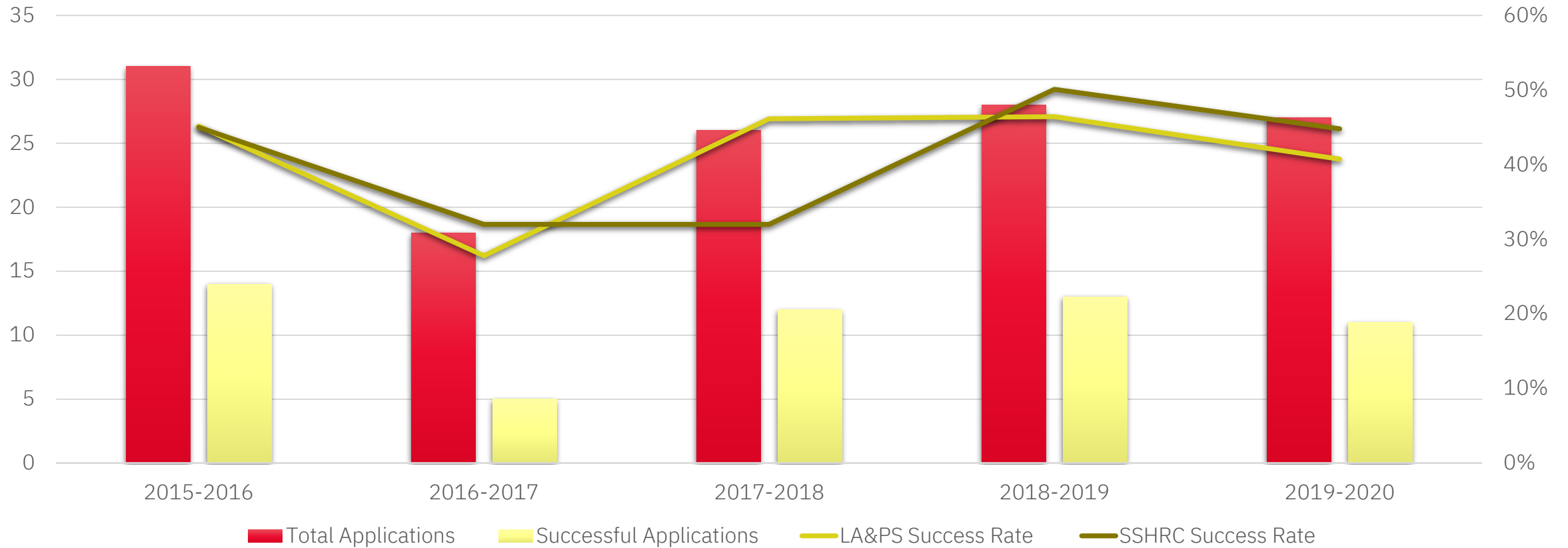
LA&PS Insight Grant Funding Rate 2015-2020

LA&PS Funding: SSHRC Insight Grant Funding Rate 2015-2020



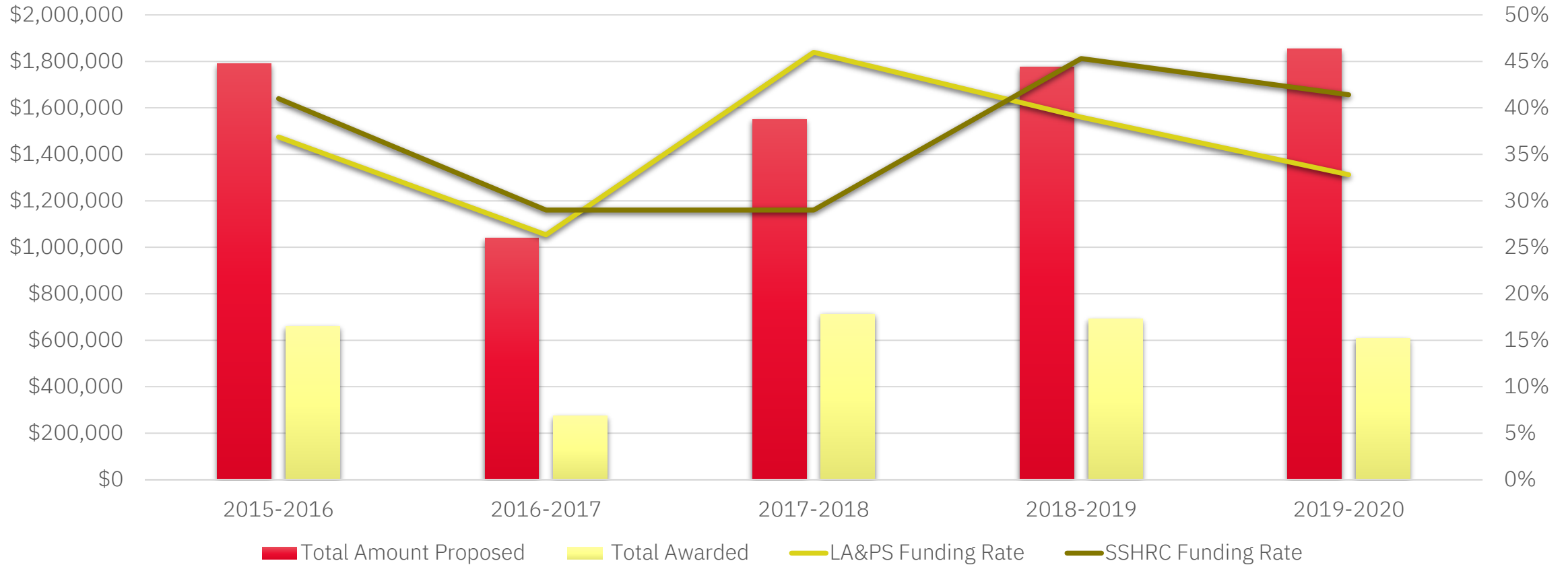
LA&PS Insight Development Grant Success Rates 2015-2020

LA&PS Funding: SSHRC Insight Development Grant Success Rates 2015-2020



LA&PS Insight Development Grant Funding Rates 2015-2020

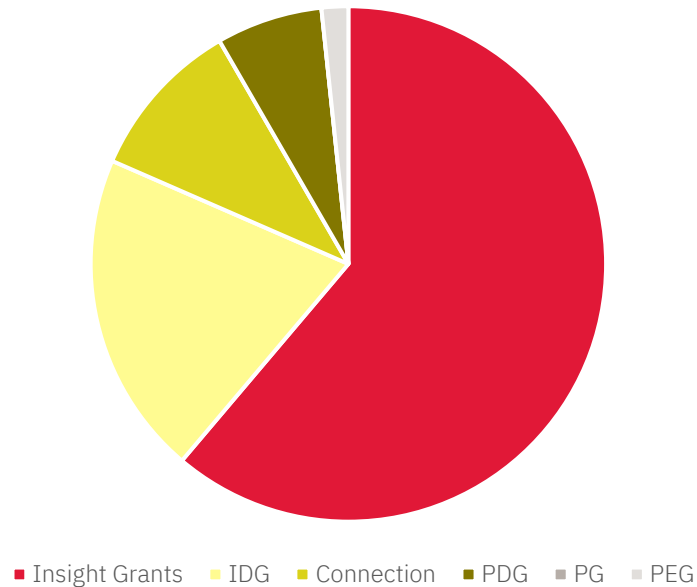
LA&PS Funding: SSHRC Insight Development Grants Funding Rates 2015-2020



SSHRC Funding 2019/20: Breakdown by 5 Largest Programs

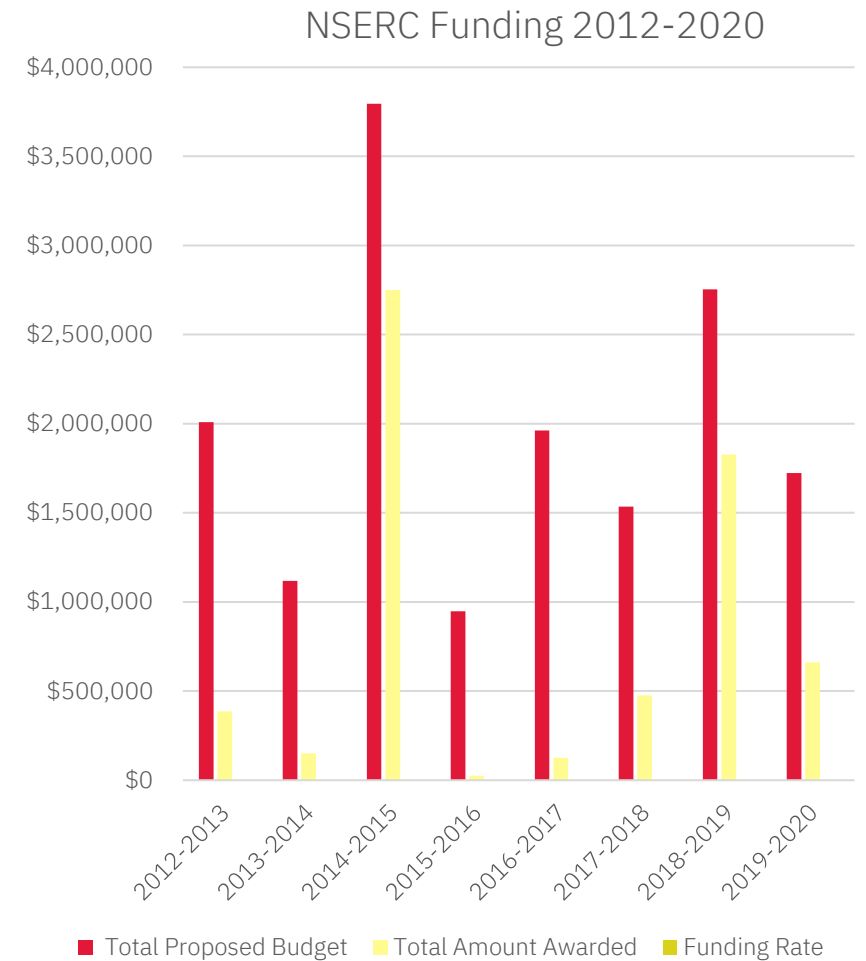
| Insight Grant | Insight Development Grant | Connection Grant | Partnership Engage Grant | Partnership Development Grant | Partnership Grant | Total 2019-20 FY |
|---------------|---------------------------|------------------|--------------------------|-------------------------------|-------------------|------------------|
| \$1,825,821 | \$607,966 | \$301,811 | \$49,921 | \$198,943 | \$0 | \$2,984,462 |

Example: SSHRC Funding 2019-20 FY by Program



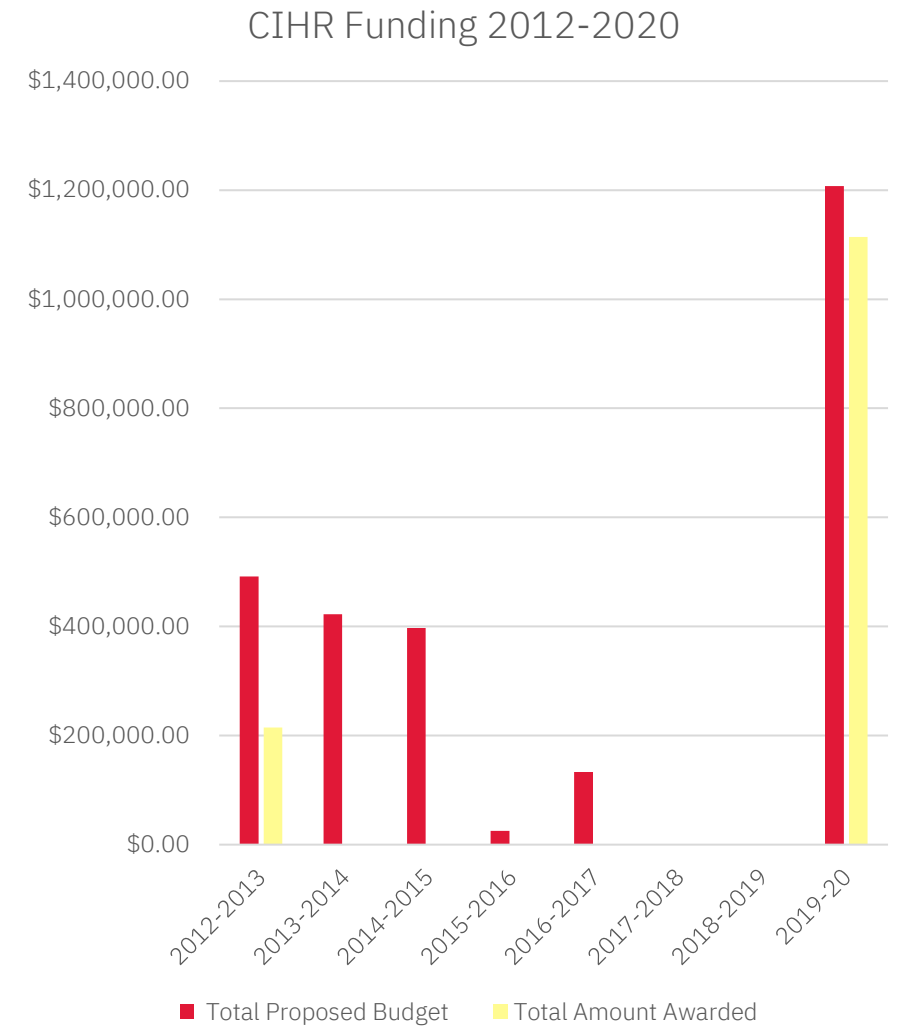
NSERC Funding 2012-2020

| Fiscal Year | Total Proposed Budget | Total Amount Awarded | Funding Rate | Total Applications | Total Successful Applications | Success Rate |
|-------------|-----------------------|----------------------|--------------|--------------------|-------------------------------|--------------|
| 2012-2013 | \$2,009,013 | \$385,000 | 19.16% | 10 | 2 | 20.00% |
| 2013-2014 | \$1,118,613 | \$149,600 | 13.37% | 11 | 5 | 45.45% |
| 2014-2015 | \$3,795,560 | \$2,749,227 | 72.43% | 10 | 4 | 40.00% |
| 2015-2016 | \$948,129 | \$24,892 | 2.63% | 7 | 1 | 14.29% |
| 2016-2017 | \$1,961,480 | \$124,155 | 6.33% | 11 | 1 | 9.09% |
| 2017-2018 | \$1,533,825 | \$475,000 | 30.97% | 9 | 4 | 44.44% |
| 2018-2019 | \$2,753,325 | \$1,827,500 | 66.37% | 7 | 2 | 28.57% |
| 2019-2020 | \$1,723,308 | \$660,000 | 38.30% | 8 | 2 | 25.00% |
| Grand Total | \$15,843,253 | \$6,395,374 | 31.20% | 65 | 19 | 28.36% |



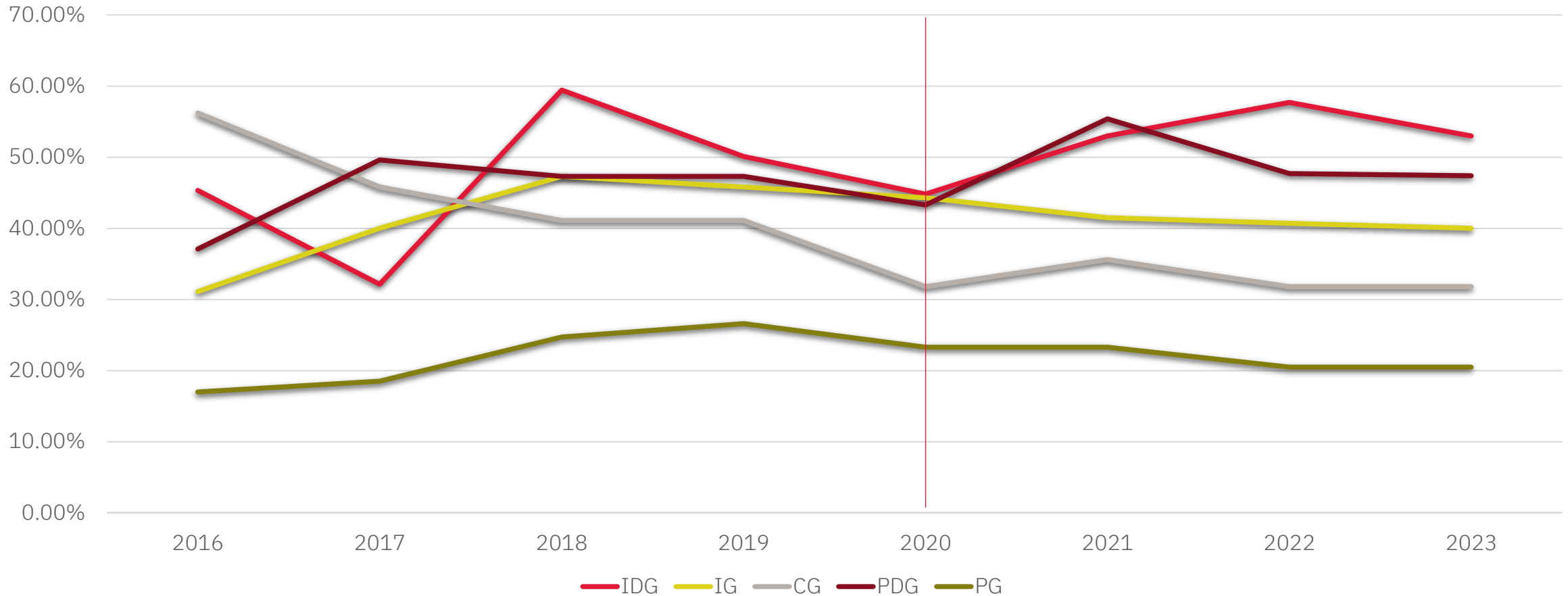
CIHR Funding 2012-2020

| Fiscal Year | Total Proposed Budget | Total Amount Awarded | Funding Rate | Total Applications | Total Successful Applications | Success Rate |
|-------------|-----------------------|----------------------|--------------|--------------------|-------------------------------|--------------|
| 2012-2013 | \$491,938 | \$215,032 | 43.71% | 5 | 1 | 20.00% |
| 2013-2014 | \$422,404 | \$0 | 0.00% | 3 | 0 | 0.00% |
| 2014-2015 | \$397,450 | \$0 | 0.00% | 1 | 0 | 0.00% |
| 2015-2016 | \$25,000 | \$0 | 0.00% | 1 | 0 | 0.00% |
| 2016-2017 | \$133,273 | \$0 | 0.00% | 3 | 1 | 33.33% |
| 2017-2018 | \$0 | \$0 | 0.00% | 0 | 0 | 0.00% |
| 2018-2019 | \$1,132 | \$1,000 | 88.38% | 1 | 1 | 100.00% |
| 2019-2020 | \$1,207,374 | \$1,114,160 | 92.28% | 5 | 4 | 80.00% |
| Grand Total | \$2,678,571 | \$1,330,192 | 49.66% | 14 | 6 | 42.86% |



SSHRC – Actual & Projected Success Rates 2016-2020

Note: SSHRC had projected IDG Success rate for 2020 at 53.7%.
Actual success rate was 44.8% for 2020 program



New Structure – LA&PS Research Unit

Associate Dean, Research & Graduate Studies

Director, Strategic Research & Partnerships

Pre-Award Team

Research Facilitator

- Content expert with deep knowledge of funding agency requirements, providing advice tailored to the specific needs and goals of the individual faculty member interested in establishing and/or growing their research portfolio

Chris Hendershot Grant Development Specialist

- Expert support in funding application and award nomination (CRC & YRC) submission
- Grant development/editing, research communication and technical writing supporting research initiatives in all disciplines

Grant Development Specialist

- Grant development/editing, research communication and technical writing supporting research initiatives
- Expertise in Indigenous and/or Community-based Research Methodology

Grant Development Specialist

- Grant development/editing, research communication and technical writing supporting research initiatives with emphasis on NSERC and CIHR research

Ives Polking
Administrative Assistant,
Research

Knowledge Mobilization Specialist

- Content expert in knowledge mobilization (KM) best practices, frameworks and methodologies
- Works with faculty members to develop targeted KM plans for grants and projects

Hajer Mirwali
Coordinator, GLRC

Work Study Students

Post-Award Team

Helen Papacharalambous
Faculty Research Administrator

Deznah Patricia Ellis
Faculty Research Administrator

Note: GLRC = Global Labour Research Centre, the only Faculty-Based ORU in LA&PS

Way Forward

- **As our Faculty has grown, so must our Research Unit**
 - In 2014, the LA&PS Research Unit included the Associate Dean and 3 staff; the new structure implemented in 2019 and 2020 includes the Associate Dean, Director and 9 staff
- Research grants will be supported through a collaborative team structure offering multiple supports to all faculty members in research programs
- We will recruit additional staff with **diverse backgrounds in research training** (e.g. Humanities, Social Science, NSERC) to address growing faculty needs, especially in Indigenous and community-based research (e.g. Black studies), with training and development opportunities for staff
- We will continue to use research indicators and metrics, and service usage and feedback, and **further consultation** to inform the implementation of the new model and enhancement of research support
- We will create new opportunities for **Faculty-wide research engagement**, including creating a **College of Peer Reviewers**, as well as fostering deeper interactions with units' Research Coordinators