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# Functional architecture of executive control and associated event-related potentials in macaques

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The medial frontal cortex (MFC) enables executive control by monitoring relevant information and using it to adapt behavior. In macaques performing a saccade countermanding (stop-signal) task, we simultaneously recorded electrical potentials over MFC and neural spiking across all layers of the supplementary eye field (SEF). We report the laminar organization of neurons enabling executive control by monitoring the conflict between incompatible responses, the timing of events, and sustaining goal maintenance. These neurons were a mix of narrow-spiking and broad-spiking found in all layers, but those predicting the duration of control and sustaining the task goal until the release of operant control were more commonly narrow-spiking neurons confined to layers 2 and 3 (L2/3). We complement these results with evidence for a monkey homolog of the N2/P3 event-related potential (ERP) complex associated with response inhibition. N2 polarization varied with error-likelihood and P3 polarization varied with the duration of expected control. The amplitude of the N2 and P3 were predicted by the spike rate of different classes of neurons located in L2/3 but not L5/6. These findings reveal features of the cortical microcircuitry supporting executive control and producing associated ERPs.

Effective control of behavior is necessary to override conflicting, habitual, or inappropriate responses, and to facilitate stopping, switching, and updating of task goals. Investigating features of executive control is afforded through the countermanding (stop-signal) task<sup>1</sup>, during which macaque monkeys, like humans, exert response inhibition and adapt performance based on stimulus history, response outcomes, and the temporal structure of task events<sup>2</sup>.

Converging evidence from imaging, electrophysiology, and lesion studies indicates that MFC, including the supplementary motor complex, is essential for executive control<sup>3–6</sup>. In humans, noninvasive ERP measures derived from a negative–positive waveform over the medial frontal cortex, known as the N2/P3, have been used to test hypotheses about executive control function<sup>7</sup>. Executive control of gaze is mediated by the SEF–an agranular area on the dorsomedial convexity of the MFC. Here, neurons modulate too late to enable reactive control of eye

movements and instead contribute to proactive control<sup>8</sup>. Electrical microstimulation of SEF improves performance in the countermanding task by slowing response time (RT)<sup>9</sup> by postponing the accumulation of pre-saccadic activity in gaze control structures<sup>10</sup>. SEF also supports working memory<sup>11,12</sup> and signals surprising events<sup>13</sup>, event timing<sup>14,15</sup>, response conflict<sup>16</sup>, and consequences<sup>17</sup>.

Whilst these executive control signals are well documented in MFC, how they arise is uncertain<sup>3–6</sup>. Understanding neural spiking with laminar resolution is necessary to clarify circuit-level mechanisms because neurons in different layers have different biophysical properties and anatomical connections. Although such approaches have been integral in developing our understanding of processing in the early visual system<sup>18</sup> the canonical cortical microcircuit derived from granular sensory areas<sup>19</sup> does not explain agranular frontal areas like SEF<sup>20-24</sup>.

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To understand mechanistically how executive control signals are generated in the MFC, we sampled neural spiking activity in SEF beneath where the frontal N2/P3 is sampled. We previously described the laminar microcircuitry of performance monitoring signals in the SEF and their relationship to error-related negativity (ERN)<sup>17</sup>. Here we describe the laminar microcircuitry of signals that monitor events occurring during successful stopping performance. We describe three classes of neurons that signal response conflict, event timing, and maintenance of task goals. We also provide evidence that macaque monkeys produce the N2/P3 ERP associated with response inhibition, describe the task factors indexed by this ERP, and elucidate the neuron classes predicting the polarization.

#### Results

#### Countermanding performance and neural sampling

Neurophysiological and electrophysiological data were recorded from two macaque monkeys performing the saccade stop-signal task with explicit feedback tone cues preceding the possible delivery of fluid reward (Fig. 1a)<sup>25</sup>. Data collection and analysis were informed by the consensus guide for the stop-signal task<sup>1</sup>. Briefly, monkeys earned fluid reward for shifting their gaze to a target on its appearance and for inhibiting the planned saccade when an infrequent stop-signal appeared. The delay of the stop-signal was varied experimentally to yield an equal probability of successful (canceled) or unsuccessful (noncanceled, NC) stop-signal trials. Two kinds of NC trials were distinguished (Fig. 1a): NC trials in which the gaze shifted after the stopsignal appeared (RT > SSD) were identified as errors (NC<sub>error</sub>), whereas trials when gaze shifted before SSD (RT < SSD) were identified as premature noncanceled trials (NC<sub>premature</sub>). Both trials resulted in no juice reward being delivered.

We acquired 33,816 trials across 29 sessions (Monkey Eu, male, 12 sessions, 11,583 trials; Monkey X, female, 17 sessions, 22,233 trials). Typical performance was produced by both monkeys. First, response times (RT) on noncanceled trials (mean  $\pm$  SD Eu: 294 $\pm$ 179 ms; X:



**Fig. 1** | **Experimental approach. a** Saccade countermanding task. Monkeys earned fluid reward for shifting gaze (dashed circle) to a visual target unless a stop-signal appeared after a variable stop-signal delay (SSD) adjusted to achieve -50% canceled trials. Successful no-stop-signal or canceled trial outcome was signaled by a high-pitched tone after  $T_{tone}$  preceding fluid delivery. Noncanceled errors were signaled by a low-pitched tone. Monkeys could shift gaze and blink after  $T_{tone}$ , which equaled 1500 ms - SSD. Noncanceled trials with RT > SSD were explicit errors. Noncanceled trials with RT < SSD were premature responses. Details in text. **b** Neural sampling. Neural spiking was recorded across all layers of agranular SEF (NeuN stain) using Plexon U-probe. Neurons with both broad (black) and narrow (red) spikes were sampled. Spiking modulation was measured relative to the presentation of task events (thin solid, visual target; thick solid, stop-signal) and performance measures like SSRT (dashed vertical). Simultaneously, EEG was recorded from an electrode in the cranial surface over the MFC (10–20 location Fz). The yellow rectangle portrays the cortical area sampled in a T1 MR image. **c** Neuron

classification. Among 575 sampled neurons 271 were classified by their modulation during successful stopping. Their respective spike density functions (SDF) were submitted to an unsupervised consensus clustering pipeline<sup>27</sup> yielding the composite similarity matrix (z-score color map) and associated dendrogram. The color coding in this figure bears no relation to colors used in other figures. Consensus clustering yielded 5 clusters with 2 clusters containing >80% of the neurons. The different modulation patterns are evident in the average SDF aligned on SSRT of each cluster. Following manual curation, 129 facilitated and 84 suppressed neurons were analyzed further based on heterogeneity in modulation latency, duration, and pattern with the feedback tone. *K*-means clustering further divided facilitated neurons into sustained and transient classes (right panel). The inset in the right panel shows that *k* = 2 clusters were chosen based on the Elbow method and the Silhouette score. Therefore, three classes of neurons were analyzed in this study.

230 ± 83 ms) were systematically shorter than those on no-stop-signalsignal trials (Eu:  $313 \pm 119$  ms, X:  $263 \pm 112$  ms; Mixed-effects linear regression (two-tailed) grouped by monkey, t(27507) = -17.4, p < 0.001). Second, the probability of noncanceled trials increased with stop-signal delay (SSD). These two observations validated the use of the independent race model<sup>26</sup> to estimate the stop-signal reaction time (SSRT), an estimate of the time needed to cancel a partially prepared saccade. SSRT measures the time of successful stopping. If the stop process does not inhibit saccade preparation before this time, then gaze will shift. Accordingly, neural modulation occurring before SSRT can contribute to reactive stopping but modulation occurring after SSRT cannot<sup>8,27</sup>. SSRT across sessions did not differ between monkeys (Eu:  $118 \pm 23$  ms, X:  $103 \pm 24$  ms, independent groups *t*-test (two-tailed), t(27) = -1.69, p = 0.103).

Electroencephalogram (EEG) was recorded over the MFC with leads placed on the cranial surface beside the chamber while a linear electrode array (Plexon, 24 channels, 150  $\mu$ m spacing) was inserted in SEF (Fig. 1b). SEF was localized by anatomical landmarks and intracortical electrical microstimulation<sup>21</sup>. Neural spiking was sampled from 575 single units (Eu: 244, X: 331) across five sites. Overall, 213 neurons (Eu: 105, X: 108) modulated around SSRT and revealed the functional signals reported in this study. The description of the laminar distribution of signals is based on 16 of the 29 sessions during which electrode arrays were oriented perpendicular to the cortical layers. In total, 119 neurons (Eu: 54; X: 65) were assigned to layers confidently (Supplementary Fig. 1b, Supplementary Table 1). Due to variability in the estimates and the indistinct border between L6 and white matter, units appearing beneath the average gray-matter estimate were assigned to L6.

## Functional classification of neural activity related to successful stopping

Aspects of the behavioral and neural dataset analyzed here have been used to address other questions. The current results describe the activity on successfully canceled stop-signal trials. According to the race model<sup>26</sup> and validated neurophysiologically<sup>27</sup> noncanceled trials result from faster responses than canceled trials (RT < SSD + SSRT, Supplementary Fig. 2a) and therefore are associated with distinct neural mechanisms. Activity on noncanceled stop-signal trials was described previously<sup>17</sup>.

We classified neurons primarily based on the pattern of neural activation around SSRT (Fig. 1c, Supplementary Fig. 1c). A semisupervised consensus cluster algorithm<sup>28</sup> followed by manual curation revealed populations of neurons that were facilitated (129) or suppressed (84) following successful response inhibition. Facilitated neurons were further classified into two distinct subpopulations based on other response profile properties (Fig. 1c).

To identify the putative functional roles of each pattern of modulation, we contrasted neural modulation on canceled trials with a subset of no-stop-signal trials with matching temporal dynamics, identified through a process of latency-matching. This process only included no-stop-signal trials with response times long enough to have been canceled had the stop-signal been presented ( $RT \ge SSD + SSRT$ ; Supplementary Fig. 2a). We distinguished canceled trials by SSD to account for variations in modulation dynamics arising from differences in the timing of task events (Fig. 1a). We also examined the neural activity before the feedback tone which terminated operant control on behavior after successful stopping (Fig. 1a). Variations in neural activity across SSDs were tested against a variety of parameters derived from different theories of MFC function described below (Fig. 2b; Supplementary Table 2).

Performance of the stop-signal task is explained as the outcome of a race between stochastic GO and STOP processes<sup>26</sup>, instantiated by specific interactions enabling the interruption of the GO process by a STOP process<sup>29,30</sup> (Fig. 2a). A theory of medial frontal function posits that it encodes the conflict between mutually incompatible processes<sup>31</sup>. Such conflict arises naturally as the mathematical product of the activation of the mutually incompatible GO and STOP units. The probability of noncanceled trials at each SSD, p(NC|SSD), served as a proxy for conflict because it is the outcome of the race between the conflicting processes. This was validated by simulations of the GO and STOP units in the interactive race model (Fig. 2a). Therefore, the conflict model predicts variations in neural activity as a function of p(NC|SSD).

Inspired by reinforcement learning models, we determined whether neural modulation after stop-signal appearance varied with the likelihood of error associated with an experienced SSD<sup>32</sup>. Note that a stop-signal appearing after RT cannot contribute to this association. The experienced SSD can be learned only in trials when the stop-signal was seen (SS<sub>seen</sub>), which are canceled trials and noncanceled trials that are explicit errors (NC<sub>error</sub>). Therefore, the error-likelihood model predicts that neural activity varies with the likelihood of error, which was operationalized by  $p(NC_{error}|SSD)/p(SS_{seen}|SSD)$ . Neural modulation scaling positively (negatively) with this quantity encodes the error (success) likelihood associated with each SSD. This quantity diverges from p(NC|SSD) at longer SSDs (Fig. 2b, top-left panel).

Finally, due to the temporal regularities of SSD and other events, we determined whether neural modulation signaled interval timing<sup>14,15,33,34</sup>, moment-by-moment expectation for events<sup>35,36</sup>, or the surprise associated with the violation of the expectations<sup>37,38</sup> (Fig. 2b, Supplementary Table 2, Supplementary Fig. 3). Guided by previous research on time perception<sup>33,35,39</sup>, we tested whether neural modulation varied with elapsed time in linear or logarithmic scales. Expectation was operationalized by hazard rate

$$h(t) = \frac{f(t)}{[1 - F(t)]}$$
(1)

where f(t) is the probability density and F(t) is the associated cumulative distribution. Surprise at the violation of this expectation was operationalized by Shannon's information,

$$s(t) = -\log_2[h(t)] \tag{2}$$

Different hazard rates and surprise quantities were computed based on different representations of temporal statistics plus the perceptual precision of SSD and the time until the feedback tone ( $T_{tone}$ ; Fig. 2b; Supplementary Fig. 3a). Hazard rate models predict that neural activity before an event varies with h(t), and surprise models predict that neural activity after an event varies with s(t). The various models resulting from these quantities were compared through mixed-effects model comparison with Bayesian Information Criteria (BIC).

**Conflict monitoring neurons.** The classification pipeline identified 75 neurons with transient facilitation on canceled trials compared to latency-matched no-stop-signal trials (Fig. 1c). This facilitation was not a visual response to the stop-signal because it did not occur on non-canceled trials (Supplementary Figs. 1e and 2b). It also cannot contribute to reactive response inhibition because for nearly all neurons (71/75) it arose after SSRT (Fig. 3a, Supplementary Fig. 4a). The facilitation started  $90.4 \pm 74$  ms after SSRT with peak recruitment at -110 ms at which time -60% of neurons were active (Fig. 3a).

Through model comparison, we assessed how the magnitude of this modulation varied with task and performance parameters. The facilitation was best described by the conflict model with higher activity associated with larger p(NC|SSD) (Fig. 3e; Supplementary Table 3, Mixed-effects linear regression (two-tailed) grouped by neuron, t(212) = 4.24, p < 0.001). Time-based and surprise models derived from the temporal statistics on canceled trials were candidates ( $\Delta BIC < 2$ ). The error-likelihood ( $\Delta BIC = 3.52$ ) and other surprise



**Fig. 2** | **Models of neural modulation. a** Interactive race model architecture. In interactive race architectures<sup>29,30</sup> GO and STOP units are racing, competing response channels, so the product of their co-activation measures response conflict in this task. In simulations with parameters simulating observed RT and p(NC|SSD) values (lower panels) the instantaneous product of  $a_{GO}^*a_{STOP}$  of individual (thin) and average (thick) increases around and peaks after SSRT (middle panels). The product of the activation of GO and STOP units producing countermanding performance is proportional to p(NC|SSD) (lower right panel). Consequently, p(NC|SSD) is an effective proxy for the conflict measure. **b** Quantities related to different behavioral and task parameters that arise from the variability in SSD and  $T_{tone}$  are shown for a representative session. These quantities defined models with different predictions about variations in neural activity. Conflict and error-likelihood models (**b-1**) were based on the proportions of stop-signal trials (left ordinate) in which the saccade was successfully canceled (gray bars), those in which the stop-signal was seen because of RT > SSD (SS<sub>seen</sub>; blue bars) and all stop-signal trials (white bars).

The probability of NC trials as a function of SSD (right ordinate) indexed conflict with p(NC|SSD) and error-likelihood with  $p(NC_{error}|SSD)/p(SS_{seen}|SSD)$ , which diverge at long SSD. Time-based models were based on the duration of SSD (**b-1**) and  $T_{tone}$  (**b-2**) with *t* (left ordinate) and log(*t*) (right ordinate) values (**b-3**, **b-4**). Expectation and surprise models were based on hazard rates of SSD and  $T_{tone}$ derived from their respective distributions (**b-1** and **b-2**). Absolute and Subjective, incorporating imprecision in duration estimation, hazard rates (red) of SSD (**b-5**) and tone (**b-6**) and the surprise associated with stop-signal (b-5; blue) are shown. Other variants were also considered based on the underlying assumption about knowledge of task structure and imprecision in duration estimation (Supplementary Table 2, Supplementary Fig. 3a). Expectation of SS was quantified by hazard rate conditional on stop-signal trial probability (-40%). Surprise as a violation of expectations was quantified by the Shannon information derived from the hazard rate.

models earned weak support ( $\Delta$ BIC = 5.7) or were rejected ( $\Delta$ BIC > 6) (Fig. 3d; Supplementary Table 3). Although some surprise and timebased models explained the modulation, preference for the conflict model aligns with an earlier conjecture that these neurons signal conflict derived from the co-activation of GO and STOP unit activation<sup>16</sup>. Because this signal arises too late to influence response inhibition, we conjecture that it contributes to conflict monitoring.

On canceled trials, a minority of these neurons produced persistent weak activity until the tone, some with a transient response thereafter (Fig. 3a, b; Supplementary Fig. 1e). The spike rate immediately before the feedback tone was unrelated to its time or anticipation. Although RT slowed after successful stopping (multiple linear regression (two-tailed) controlling for direction and session, t(13664) = 15.7, p < 0.001), the modulation of only a few neurons (7/75) covaried with RT (multiple linear regression controlling for SSD, p < 0.05). Therefore, Conflict neurons were not involved in RT adjustments.

Over half of Conflict neurons (57%) exhibited multiplexing with reinforcement- or error-related signals reported previously<sup>17</sup> (Supplementary Table 7). Some produced higher discharge rates on unrewarded trials (previously identified as Loss neurons); some, had higher discharge rates on rewarded trials (Gain neurons). However, multiplexing incidence did not differ from that predicted by the sampling prevalence of these signals (Chi-square test of homogeneity (onetailed),  $X^2$  (3, N = 575) = 1.02, p = 0.791). The vast majority (65/75) were not modulated when stopping failed (Supplementary Fig. 2b, Supplementary Table 7), reinforcing previous findings that conflict and error monitoring are distinct<sup>16,17</sup>.

To investigate the microcircuit contribution of Conflict neurons, we examined their spike waveform duration and distribution across the layers (Supplementary Fig. 1a). Neurons were distinguished as broad- and narrow-spiking, which may identify pyramidal neurons that can send extrinsic connections and intrinsic inhibitory interneurons, respectively<sup>40</sup>. Most Conflict neurons (63/75) had broad spikes, but the incidence did not exceed that observed in the overall recording sample (Chi-square test of homogeneity (one-tailed),  $X^2$  (1, N = 575) = 0.205, p = 0.152).

Conflict neurons were observed in all recording sites but more prevalently at some (Chi-square test of homogeneity (one-tailed),  $X^2$  (4, N = 575) = 11.6, p = 0.020). Those recorded in sessions with perpendicular penetrations (36/75) revealed the spatiotemporal progression of the conflict signal across time and cortical depth (Fig. 3b). These neurons were sampled from all layers with an incidence corresponding to the sampling distribution (Chi-square test of homogeneity (onetailed),  $X^2$  (4, N = 293) = 4.28, p = 0.369; Fig. 3b; Supplementary Fig. 1a; Supplementary Table 1). The onset time of the modulation did not



differ between L2/3 and L5/6 (Mann–Whitney *U* test (two-tailed), *U*(13, 23) = 181, z = -1.94, p = 0.052). A larger proportion of concurrently activated Conflict neurons was observed in L5/6 (Fig. 3b). The few neurons modulating with the tone were observed in all layers.

Thus, complementing previous observations<sup>16</sup>, particular neurons in SEF modulate in a manner consistent with signaling the co-activation

of gaze-shifting (GO) and gaze-holding (STOP) mechanisms previously interpreted as conflict<sup>31,41</sup>. These neurons are distributed across all SEF layers and are predominantly broad-spiking, as expected from the sampling distribution. This conflict signal has also been observed in dopamine (DA) neurons in dorsolateral substantia nigra pars compacta (SNpc) during saccade countermanding<sup>42</sup>. The SNpc neurons **Fig. 3** | **Conflict neuron time-depth organization. a** Average spike rate (top) and recruitment (bottom) of broad- (dark) and narrow-spiking (light) neurons on canceled (thick) and latency-matched no-stop-signal (thin) trials, aligned on SSRT (left) and tone (right), normalized to 95th percentile within respective intervals. SSRT- aligned recruitment was the difference between trials indicated by p(diff > 0). Tone-aligned recruitment was the difference in spiking on canceled trials ( $A_{canceled}$ ) relative to baseline (BL) lowest spiking value ±500 ms from the tone. Modulations after tone were not analyzed. Post-saccadic spiking on no-stop-signal trials before tone can exceed that on canceled trials. b Time-depth plot showing latency and recruitment across depth from perpendicular penetrations. Symbols mark the beginning of modulation for broad- (triangles) and narrow-spiking (stars) neurons. Color map indicates percentage through time at each depth relative to sampling density. Solid horizontal line marks L3–L5 boundary. The lower boundary of L6 is not discrete. **c** Modulation on canceled (thick) relative to latency-matched no-stop-signal (thin) trials for lower, intermediate, and higher p(NC|SSD) of two

modulate significantly earlier than those in SEF but accounting for the long conduction delay, a dopaminergic signal cannot cause the SEF modulation (Supplementary Fig. 9; Supplementary Table 9).

**Event timing neurons.** The classification pipeline identified another group of 84 neurons with ramping activity following target presentation on all trials with an abrupt reduction in discharge rate after SSRT on canceled trials (Fig. 4; Supplementary Fig. 1c–e). This modulation cannot contribute to reactive response inhibition because for nearly all neurons (76/84) it happened after SSRT (Supplementary Figs. 1d and 5a). This suppression occurred  $62 \pm 58$  ms after SSRT, significantly earlier than Conflict neurons (Mann–Whitney *U* test (two-tailed), *U*(84, 75) = 6001, *z* = -2.44, *p* = 0.014). By -150 ms after SSRT, nearly all neurons had suppressed spiking (Fig. 4a). Noting the similarity of the ramping to earlier descriptions of time-keeping neurons<sup>14,15</sup>, we focused on the ramping activity preceding the suppression.

Model comparison revealed that the ramping magnitude varied best with log(SSD) (Mixed-effects linear regression (two-tailed) grouped by neuron, t(250) = 12.62, p = 0.001) with higher activity for longer SSD durations (Fig. 4e; Supplementary Table 4). Absolute SSD earned weak support ( $\Delta$ BIC = 2.7), but hazard rate models were rejected ( $\Delta$ BIC > 6). Once successful stopping occurred, these neurons were suppressed. On no-stop-signal and noncanceled saccade trials, the ramping activity occurred in other epochs followed by a decline following the saccade or feedback tone (Supplementary Fig. 2b). Because the discharge rate decreased sharply after SSRT on canceled but not on noncanceled stop-signal trials (Fig. 4d, Supplementary Figs. 1e and 2b), we conjectured that these neurons are sensitive to the timing of events leading to successful stopping and not the timing of the stopsignal appearance per se.

Inherent to the task, following SSD and SSRT on canceled trials monkeys had to maintain fixation on the stop-signal for a variable but predictable duration ( $T_{tone}$ , Fig. 1a). Following the post-SSRT suppression, the activity in a subset of these neurons (38/84) exhibited a second ramping period preceding the tone, whereupon the spike rate decreased (Fig. 4; Supplementary Fig. 5b). This ramping had lower slope than that before SSRT. In a few neurons, the decrease in activity after the tone followed a brief transient response (Fig. 4a).

The variation in ramping dynamics before the tone was best explained by time-based models ( $T_{\text{tone}}$ ; Mixed-effects linear regression (two-tailed) grouped by neuron, t(112) = 3.41, p < 0.001; Fig. 4h, Supplementary Table 4) with weak support for hazard rate models ( $3.0 < \Delta$ BIC < 4.4). The  $T_{\text{tone}}$  and  $\log(T_{\text{tone}})$  models were indistinguishable ( $\Delta$ BIC < 0.1) (Fig. 4g). The termination of this ramping activity was synchronized with the feedback tone and not the time at which fixation was interrupted following the feedback (Supplementary Fig. 5c).

Because the ramping activity of these neurons co-varies with SSD and  $T_{tone}$  followed by suppression when the interval elapses, we conjecture that these neurons signal event timing. Event Timing neurons

representative neurons: n1 had broad spikes in L6; n2 had narrow spikes in L5 (n2) (identified in **b**). Shaded interval highlights significant differences in spiking across conditions. **d** Model comparison table listing each tested model. The heatmap shows the difference in BIC values ( $\Delta$ BIC) for each model compared to the model with the lowest BIC value (black fill) with hotter colors corresponding to lower  $\Delta$ BIC values. Asterisks (\*) indicate models with a significant main effect. The green circle indicates the best-fit model; the white indicates candidate models ( $\Delta$ BIC < 2). Spike rate variation after SSRT was best predicted by the conflict model. Full statistics in Supplementary Table 3. **e** Significant variation of spiking (residualized and adjusted for spiking across neurons) as a function of *p*(NC| SSD) proxy for conflict (normalized *z*-scale). Each point plots average spike density and mean p(NC|SSD) across all trials in early-, mid-, or late-SSD bins for each of 75 neurons. For 11 neurons no reliable estimate of spike density for the late-SSD bin was obtained due to too few trials. These data points were not included.

were identified by ramping before SSRT, but ~45% also encoded the timing of the feedback tone. This suggests that the timing signal can exhibit different specificities in different neurons.

Next, we examined how the activity of these neurons relates to adjustments in behavior. Replicating previous findings, we found that RT was influenced by the SSD experienced in the previous trial, with slower RTs following longer SSD (Linear regression model (two-tailed), t(83) = 2.64, p = 0.010)<sup>43</sup>. However, the peak of the peri-SSRT ramping activity of only two neurons predicted RT in the trial following successful stopping (multiple linear regression (two-tailed) for each neuron controlling for SSD, p < 0.05). Therefore, this putative time-keeping signal does not influence the slowing of RT after canceled trials.

Neurons identified with event timing multiplexed with performance monitoring signals reported previously<sup>17</sup>. Event timing was significantly more likely to be observed in Error and Gain neurons compared to Loss neurons (Chi-square test of homogeneity (one-tailed),  $X^2$  (3, N = 575) = 44.86, p < 0.001, Supplementary Table 7). The nature of the ramping before SSRT was invariant across multiplexing associations. Ramping after the feedback tone until reward delivery was observed in Gain neurons (24/84).

To elucidate the microcircuit contribution of Event Timing neurons, we examined their spike waveform duration and distribution across the layers. The majority (72/84) were broad-spiking similar in proportion to the sampling distribution (Chi-square test of homogeneity (one-tailed),  $X^2$  (1, N = 575) = 3.75, p = 0.053). These neurons were found in all penetrations but were more common in some sites  $(X^2 (4, N = 575) > 39.3, p < 0.001;$  Supplementary Table 1). The time-depth organization of these neurons was revealed in the sample from perpendicular penetrations (49/84; Fig. 4b). Those ramping before SSRT were found across all layers in proportion to the sampling distribution (Chi-square test of homogeneity (one-tailed),  $X^2$  (4, N = 293) = 7.33, p = 0.120; Fig. 4b; Supplementary Fig. 1a; Supplementary Table 1). However, those with ramping after SSRT until the tone were significantly more concentrated in lower L3 and L5 ( $X^2$  (2, N = 293) = 10.37, p = 0.006; Supplementary Table 1). The suppression time after SSRT or the tone did not vary across layers.

Thus, neurons in SEF exhibited ramping activity that can signal the time preceding critical events for successful task performance. These results show that these neurons are distributed across all SEF layers and are predominantly broad-spiking as expected from the sampling distribution. Also, the time-related signals in the SEF can have different functional specificities<sup>44</sup> and multiplex with error and reinforcement signals in different layers.

**Goal maintenance neurons.** We identified another class of 54 facilitated neurons with significantly greater discharge rate on canceled compared to latency-matched no-stop-signal trials after SSRT (Fig. 5). This neuron class was distinguished from Conflict neurons based on



the duration of facilitation (*K*-means clustering, Fig. 1c, Supplementary Fig. 1d) and by other differences described below.

The facilitation was not a response to the stop-signal, because it did not occur on noncanceled trials (Supplementary Fig. 2b). It cannot contribute to reactive response inhibition because it arose after SSRT for effectively all neurons (53/54; Fig. 5a; Supplementary

Fig. 6a). On average, the facilitation began  $120 \pm 85$  ms after SSRT not significantly different from that of Conflict neurons (Mann–Whitney *U* test (two-tailed), *U*(75, 54) = 4509, *z* = -1.75, *p* = 0.081) but significantly later than the suppression in Event Timing neurons (Mann–Whitney *U* test (two-tailed), *U*(84, 54) = 4940.5, *z* = -3.91, *p* < 0.001). The peak recruitment of these

**Fig. 4** | **Event timing neuron time-depth organization.** Conventions as in Fig. 3. **a** Average spike rate and suppression time of neurons with ramping spiking after the target (mean: vertical line; min-max: gray-shaded rectangle) followed by suppression on successfully canceled relative to latency-matched no-stop-signal trials. SSRT-aligned recruitment was the difference in spiking between canceled and nostop-signal trials. **b** Time-depth plots. Horizontal dashed lines highlight where

Event Timing neurons with pre-tone ramping were concentrated in lower L3 and L5. **c** Modulation on successfully canceled relative to latency-matched no-stop-signal trials for early (lighter) and late (darker) SSDs of two representative neurons: n1 had narrow spikes but no layer assignment; n2 had broad spikes in L5. Spiking in shaded

50 ms before SSRT (shaded) was used for analysis. **d** Model comparison table for pre-SSRT activity. The best model is highlighted in green. Variation of spiking was best predicted by log(SSD). Full statistics in Supplementary Table 4. **e** Significant variation of spiking activity before SSRT as a function of log(SSD) with 84 neurons contributing 252 samples. **f** Modulation of neurons n1 and n2 with ramping before the tone. Shaded 50 ms indicates epoch analyzed. **g** Model comparison table for pre-tone activity. Variation of spiking was best-predicted log( $T_{tone}$ ). Full statistics in Supplementary Table 4. **h** Significant variation of spiking activity before tone as a function of log( $T_{tone}$ ) with 38 neurons contributing 144 samples across early, intermediate, or late  $T_{tone}$ .

neurons reached -95% after -300 ms, later than that of the Conflict (-110 ms) and Event Timing neurons (-150 ms) (Fig. 5a).

The variation in activity of these neurons was explained best by the surprise model (S(t)<sub>Subjective, SS seen</sub>; Mixed-effects linear regression (two-tailed) grouped by neuron, t(151) = -3.91, p < 0.001; Fig. 5d; Supplementary Table 5) with other surprise and time-based models also candidates ( $\Delta$ BIC < 2) and weak support for log(SSD) ( $\Delta$ BIC = 3.0) and conflict ( $\Delta$ BIC = 4.1) models. The error-likelihood model was rejected ( $\Delta$ BIC > 6.0). The variation of activity varied inversely with surprise and positively with  $T_{\text{tone}}$  and log( $T_{\text{tone}}$ ) (Fig. 5c, e; Supplementary Table 5). Thus, the modulation of these neurons was further distinguished from the conflict signal by the different (and opposite) relationship to performance and task parameters (Supplementary Fig. 6e).

On canceled trials after SSRT a large fraction of these neurons (40/ 54) produced persistent spiking; a fraction exceeding Conflict neurons (Chi-square test of homogeneity (one-tailed),  $\chi^2$  (1, N = 129) = 27.3, p < 0.001). The sustained activity attenuated -300 ms after the feedback tone that cued successful performance (Fig. 5a). Attenuation after the tone was also observed on no-stop-signal trials. The spike rate immediately before the feedback tone was unrelated to any factor related to its time or anticipation. Consistent with the indirect contribution of SEF to saccade initiation, the termination of this modulation was time-locked to the tone and not when monkeys stopped fixating on the stop-signal (on canceled trials) or the target (on nostop-signal trials). Hence, this signal is not directly involved in gazeholding (Supplementary Fig. 6c).

Based on the evidence above and previous findings identifying SEF signals with working memory<sup>11,12</sup>, we conjecture that these neurons contribute to maintaining a representation of task goals (e.g., sustain unblinking fixation) for the successful completion of the task. Consistent with this hypothesis, when the monkey broke fixation too early, the facilitation after SSRT was reduced significantly in a subset of neurons with enough data (14/54; Supplementary Fig. 6f). Therefore, we refer to these neurons as Goal Maintenance neurons.

The modulation of only a minority (7/54) of these neurons covaried with RT on the subsequent trial (multiple linear regression (twotailed) controlling for SSD, p < 0.05) which precludes this signal from contributing to adjustments of RT.

Goal Maintenance neurons multiplexed with reinforcement and error signals<sup>17</sup>. The vast majority were previously classified as Loss neurons because although the activity of most of these neurons was suppressed after the feedback tone cued success when it cued failure, activity increased<sup>17</sup> (Supplementary Fig. 6d). The prevalence of this multiplexing pattern exceeded chance (Chi-square test of homogeneity (one-tailed),  $X^2$  (3, N = 575) = 19.43, p < 0.001; Supplementary Table 7).

To elucidate the microcircuit contribution of Goal Maintenance neurons, we examined spike duration and distribution across the layers. Over one-third of Goal Maintenance neurons were narrow-spiking, a proportion exceeding chance sampling (21/54; Chi-square test of homogeneity (one-tailed),  $X^2$  (1, N = 575) = 9.27, p = 0.002). They were found in all penetrations but significantly more commonly at certain sites ( $X^2$  (4, N = 575) > 39.3, p < 0.001, Supplementary Table 1). Perpendicular penetrations revealed the time-depth organization of 34 Goal Maintenance neurons (Fig. 5b). The distribution of these neurons across cortical layers was significantly different from the sampling distribution ( $\chi^2$  (4, N = 293) = 11.24, p = 0.024, Supplementary Fig. 1a, Supplementary Table 1) with significantly more in L2/3 relative to L5/6 (Fig. 5b,  $\chi^2$  (1, N = 293) = 10.37, p = 0.001). Their laminar distribution was also significantly different from those of Conflict ( $\chi^2$  (1, N = 70) = 11.54, p < 0.001) and Event Timing neurons ( $\chi^2$  (1, N = 83) = 5.49, p = 0.019). Those in L2/3 modulated significantly earlier than those in L5/6 (L2/3: 85 ± 64 ms, L5/6: 193 ± 101; Mann–Whitney *U* test (two-tailed), *U*(26,8) = 388, z = -2.7, p = 0.007).

Thus, consistent with previous studies<sup>11,12</sup>, neurons in SEF produced activity sufficient to enable a working memory representation of the goal of saccade inhibition through time tuned by experienced intervals. These results show that these neurons are most common in L2/3 and a relatively higher proportion have narrow spikes.

**Functional classification of N2/P3 ERP related to response inhibition.** To determine whether macaque monkeys produce ERP components associated with response inhibition homologous to humans<sup>7</sup>, we sampled EEG from an electrode located over MFC (10–20 Fz) while recording neural spiking in SEF (Fig. 6a). To isolate signals associated with response inhibition by eliminating components associated with visual responses and motor preparation, we measured the difference in polarization on canceled trials and latency-matched no-stop-signal trials for each SSD (Fig. 6b). Homologous to humans, we observed an enhanced N2/P3 sequence associated with successful stopping. The conclusions drawn from the results presented below do not differ if the analyses are performed on the raw EEG polarization on canceled trials instead of the difference between conditions in these intervals.

The N2, characterized as a negative deflection homologous to the human N2, began ~150 ms and peaked at  $222 \pm 17$  ms after the stopsignal, well after the visual ERP polarization (Supplementary Fig. 7a). The N2 was observed after SSRT, too late to index reactive response inhibition. Furthermore, the N2 peak time across sessions was significantly better aligned on stop-signal presentation than on SSRT, further dissociating the N2 from reactive inhibition (F-test comparison of variances (two-tailed), F(28,28) = 0.29, p = 0.002; Supplementary Fig. 7c). Variation in the amplitude of the N2 was only explained by the error-likelihood model with the largest negativity associated with the lowest error-likelihood (mixed-effects linear regression (two-tailed) grouped by session, t(85) = 2.42, p = 0.018; Fig. 6d, Supplementary Table 6). Conflict, time-based, and surprise models were rejected (nonsignificant main effect, and  $\Delta BIC > 3.0$ ; Fig. 6c). This result adds to the inconclusive evidence for the frontal N2 association with conflict monitoring and response inhibition<sup>7</sup>.

The N2 was followed by a robust P3 beginning -300 ms and peaking 358 ± 17 ms after the stop-signal, homologous to the human P3<sup>7</sup> (Fig. 6a, b). The peak polarization time was better synchronized on the stop-signal than on SSRT (*F*-test comparison of variances (two-tailed), *F*(28,28) = 0.44, *p* = 0.034; Supplementary Fig. 7c). Variation in the amplitude of the P3 was best described by the log( $T_{tone}$ ) on canceled trials, with P3 polarization increasing with  $T_{tone}$  (Mixed-effects linear regression (two-tailed) grouped by session, *t*(85) = 3.72, *p* < 0.001; Fig. 6c, e; Supplementary Table 6). All time-based models



adjusted Z [ s(t) subjective, seen ]

were candidates ( $\Delta$ BIC < 1.30). The conflict ( $\Delta$ BIC = 2.90) and most surprise models (4.5 <  $\Delta$ BIC < 5.7) received weak support, and error-likelihood and one surprise model were rejected ( $\Delta$ BIC > 6.0).

**Association of N2/P3 with neural spiking**. We examined how neural spiking related to concomitant ERP<sup>17,45</sup>. Appreciating that EEG arises

from ~10 $^{6}$  neurons and spikes are too brief to create scalp EEG<sup>46</sup>, we evaluated whether the N2/P3 complex can be a biomarker of layer-specific neural spiking.

The N2 coincided generally with the peak recruitment of Conflict and of Event Timing neurons, and the P3 with the peak recruitment of Goal Maintenance neurons (Fig. 6b). The relationship between neural **Fig. 5** | **Goal maintenance neuron time-depth organization.** Conventions as in Fig. 3. **a** Average spike rate and recruitment through time of neurons with persistent activity on canceled relative to latency-matched no-stop-signal trials. **b** Time-depth plot. **c** Modulation on successfully canceled relative to latency-matched no-stopsignal trials for shorter and longer  $T_{\text{tone}}$  of two representative neurons: n1 had narrow spikes in L3; n2 had broad spikes L3. Spiking of both neurons decreased after the tone. Shaded interval highlights significant differences in spiking across conditions. **d** Model comparison table for post-SSRT activity. Variation of spiking was best predicted by the surprise model  $S(t)_{\text{Subjective, SS seen}}$ . Full statistics are in Supplementary Table 5. **e** Significant variation of spiking activity after SSRT as a function of  $S(t)_{\text{Subjective, SS seen}}$  with 54 neurons contributing 162 samples across early, intermediate, or late  $T_{\text{tone}}$ . For 9 neurons no reliable estimate of spike density for the late-SSD bin was obtained due to too few trials. These data points were not included.





**Fig. 6** | **Event-related potentials for successful response inhibition.** Conventions as in Fig. 3. **a** Grand average *z*-transformed EEG on canceled (thick) and latency-matched no stop-signal (thin) trials. **b** Difference functions (top) remove stimulus-evoked ERP to highlight N2 and P3 components in 3 SSD bins. Shaded intervals show ±50 ms sampling interval around N2 (orange) and P3 (gray) peaks. Concomitant recruitment of the three neuron classes (bottom). **c** Model comparison shows N2 amplitude variation was best described by the error-likelihood, and P3 amplitude was best described by log( $T_{tone}$ ). Full statistics in Supplementary Table 6. **d** Significant variation of N2 amplitude as a function of  $p(NC_{error}|SSD)/p(SS_{seen}|SSD)$  in 29 sessions contributing 87 points across early, intermediate, or late SSD. **e** Significant variation of P3 amplitude as a function of  $T_{tone}$  in 29 sessions contributing 87 points across  $T_{tone}$ . **f** Partial regression between N2 amplitude and

events in SEF and cranial voltages is both biophysical and statistical. The cranial voltage produced by synaptic currents associated with a given spike must follow Maxwell's equations applied to the brain and head, regardless of the timing of the different events. Hence, we spike rate for Conflict (left) and Event Timing (right) neurons in L2/3 (top) and L5/6 (bottom) for sessions with both L2/3 and L5/6 neurons sampled. Ordinate scale plots, with EEG convention, residual from fixed-effects-adjusted ERP amplitude controlling for activity in the opposite layer. Abscissa scale plots residual fixed-effects-adjusted neuronal discharge rate in the identified layer controlling for the activity in the opposite layer and stop-signal delay. Each point plots the average EEG voltage and associated spiking rate in one of 20 bins with equal numbers of trials per session. Plotted are 120 points from 6 sessions for Conflict (left) and 100 points from 5 sessions for Event Timing (right) neurons. N2 amplitude variation was predicted by spiking rate variation of Conflict and Event Timing neurons in L2/3 but not in L5/6. **g** P3 amplitude variation was predicted by spiking rate variation of Coal Maintenance neurons in L2/3 but not in L5/6.

counted the spikes of the three classes of neurons separately in L2/3 and in L5/6 during the 100 ms spanning the peak of the ERP and tested multiple linear regression models with activity in upper layers (L2/3) and lower layers (L5/6) of each neuron class as predictors. Only

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successfully canceled trials were included in this analysis. We found that variation in N2 voltage is not associated with the spiking of Goal Maintenance neurons (Multiple linear regression (two-tailed) with L2/3 and L5/6 activity as predictors: L2/3: t(57) = -1.28. p = 0.206: L5/6: t(57) = 0.52, p = 0.605; Supplementary Fig. 8a; Supplementary Table 8). However, it was predicted by the spiking in L2/3 but not in L5/6 of Conflict (L2/3: t(117) = -3.6, p < 0.001; L5/6: t(117) = 0.046, p = 0.963) and of Event Timing (L2/3: t(97) = -4.60, p < 0.001; L5/6: t(97) = 1.67, p = 0.097) neurons (Fig. 6f). When the discharge rate of the L2/3 neurons was higher, the N2 exhibited more negativity. N2 polarization was also predicted by the spiking in  $L^{2/3}$  but not in  $L^{5/6}$  of other neurons that were not modulated on canceled trials and so were not described in this manuscript (L2/3: t(317) = -2.51, p = 0.012; L5/6: t(317) = -1.60, p = 0.110; Supplementary Fig. 8a). Conversely, variation in P3 polarization was predicted by the spiking activity of Goal Maintenance neurons in L2/3 but not L5/6 (multiple linear regression (two-tailed) with L2/3 and L5/6 activity as predictors (L2/3: t(57) = 5.46, p < 0.001; L5/6: t(57) = 1.47, p = 0.148; Fig. 6g; Supplementary Fig. 8c, d; Supplementary Table 8), with higher spike rates associated with greater P3 positivity. P3 amplitude was unrelated to the spiking of Conflict (L2/3: t(117) = 0.44, p = 0.660; L5/6: t(117) = -0.49, p = 0.624), Event Timing (L2/3: t(97) = -1.19, p = 0.236; L5/6: t(97) = -0.77, p = 0.440), or unmodulated neurons (L2/3: t(317) = -1.11, p = 0.269; L5/6: t(317) = 0.054, p = 0.956; Supplementary Fig. 8c; Supplementary Table 8).

#### Discussion

These results offer further insights into the cortical microcircuitry supporting executive control in primates. Model-based analysis of the latency, temporal dynamics, and variation in the strength of neural spiking across the neuron sample revealed functionally distinct and theoretically informative classes of neurons with distinct biophysical and laminar properties. Moreover, a bridge between these neurophysiological findings and human electrophysiology was established through the specific associations observed between the N2 and P3 ERP observed in response inhibition tasks and classes of neurons in particular cortical layers.

The utility of these findings is amplified by their complementarity with our previous description of the laminar organization of error and reward processing in SEF<sup>17</sup>. Based on the results presented in this paper, we will discuss how SEF can contribute to conflict monitoring, time-keeping, and goal maintenance. Coupled with the current knowledge about the connectivity of SEF<sup>47–49</sup>, our findings detailing the laminar distribution of neurons signaling response conflict, event timing, and maintaining goals suggest several specific hypotheses and research questions about how SEF and associated structures accomplish response inhibition and executive control (Fig. 7). Also, complementing our earlier description of the source of the ERN<sup>17</sup>, we now report a macaque homolog of the N2/P3 ERP components associated with response inhibition. These results demonstrate one potential cortical source of these ERP components.

#### Conflict, surprise, salience, and dopamine

In this study, we report a population of SEF neurons with pronounced, transient facilitation after successful response inhibition (SSRT). These neurons were predominantly broad-spiking, proportional to the sampling distribution, and found in all layers. Their spike rate during canceled trials was best described by the conflict model, operationalized by the probability of generating noncanceled saccades–p(NC|SSD). The mechanism producing responses in this task is a well-understood network of gaze-shifting and gaze-holding neurons in the frontal eye field (FEF) and superior colliculus (SC)<sup>27,50</sup>. Neurocomputational models demonstrate how this network can instantiate the GO and STOP processes<sup>29,30,51</sup>. Noncanceled saccades happen when the gaze-holding STOP units do not interrupt the rise to the threshold of the gaze-shifting GO units. Reactive inhibition happens only if the

STOP unit interrupts the GO unit, which must be brief and potent. Thus, in successful canceled trials, the GO and STOP units (corresponding to gaze-shifting and gaze-holding neurons) are in an unstable state of co-activation, corresponding to the original, formal definition of conflict<sup>31</sup>. The multiplicative conflict between GO and STOP accumulator units scales with p(NC|SSD) and peaks following SSRT (Fig. 2a) but it is unrelated to adjustments in RT. Therefore, we conjecture that this modulation signals the difficulty of the stopping process, which can then be incorporated with other information to drive adaptive changes in behavior.

Recent findings from the nigrostriatal dopamine system of monkeys performing saccade countermanding<sup>42</sup> offer an alternative interpretation for these neurons. The modulation of DA neurons in dorsolateral SNpc scales with p(NC|SSD) just like the Conflict neurons. Although alternative models were not tested in that study, the spiking of DA neurons has been identified with salience or surprise<sup>42,52</sup>. We tested the surprise hypothesis by quantifying the moment-by-moment expectancy of the stop-signal given the experienced distribution of SSD and probability of stop-signal occurrence<sup>37,38</sup>. This neural modulation was explained almost as well by surprise as by conflict. However, it could not be explained by error-likelihood. While these SEF data align with the Conflict hypothesis, they do not exclude surprise or salience hypotheses. From the perspective of reinforcement theory, a phasic DA signal can be an eligibility trace broadcast to SEF and other regions to associate reinforcement with successful cancelation after the infrequent stop-signal. To be most useful, such an eligibility trace must be salient and may be surprising.

#### Event timing and goal maintenance

We found neurons encoding the timing of task events. In our version of the stop-signal task, knowledge of the timing of the stop-signal and of the feedback tone was important. To earn the reward, monkeys must hold their gaze stable for an extended period, which required preventing eye movements and blinks that would interrupt the camerabased eye tracker. This entails learning and exploiting regularities in the timing of task events<sup>43,53</sup>. A contribution of SEF and nearby areas in action timing and time production tasks has been demonstrated<sup>14,15,54</sup>. We extend that description to this stop-signal task in terms of time keeping and goal maintenance.

A distinct group of SEF neurons produced ramping spike rates. When the saccade was inhibited, this ramping was interrupted by a pronounced suppression. These neurons were described previously with no explanation<sup>8</sup>. Our results rule out the possibility that these neurons control movement initiation because the suppression occurred too late to contribute to gaze-holding. Also, this ramping activity did not encode the expectancy for the stop-signal arising from the temporal distribution of SSDs and the probability of stop-signal appearance. Instead, they were best described by SSD.

The task design exposed a second period of ramping before the feedback tone in roughly half of these neurons which reached higher levels for longer durations. Our discovery of an association between the spiking rate and the log-transformed duration of the elapsed time motivates a more integrated interpretation framed by a body of research on time keeping<sup>14,15,33,34,36,44,54</sup>. We interpret the ramping activity as representing the timing of task events, like neurons in the basal forebrain that signal event timing depending on surprise, salience, and uncertainty<sup>44</sup>. The sharp suppression in activity can reset the system to track the timing of subsequent events. Although the stopsignal occurred randomly and response inhibition was accomplished stochastically, the feedback tone was certain to happen. Therefore, we conjecture that neurons exhibiting ramping activity before both SSRT and the feedback tone encode the timing of expected salient events regardless of certainty or expected response. In contrast, neurons with no ramping activity before the tone can encode events that are less certain in occurrence or consequence. These differences were



**Fig. 7** | **Executive control circuitry.** Conflict (orange), Event Timing (dark blue), and Goal Maintenance (dark red) neurons are illustrated with selected anatomical connections and laminar densities of calretinin (CR), calbindin (CB), and parvalbumin (PV) neurons<sup>21</sup> and of D1 and D2 receptors<sup>72</sup> (right). Dopamine projections from SNpc and VTA modulate all computations in SEF. *Left*, Conflict signal can arise through coincident inputs of gaze-holding (STOP, dark red) and gaze-shifting neurons (GO, green) directly from FEF and indirectly via thalamus from SC terminating in L2 and L3. Conflict signals integrated across apical and basal dendrites can be sent to multiple cortical and subcortical structures. *Right*, Schematic profiles of Event Timing and Goal Maintenance activity with numbered phases. Event Timing neurons can receive inputs from DLPFC and ACC informing them about an upcoming event. Ramping results from recurrent connections (1, dark blue). SEF can receive information about the stop-signal appearance and successful stopping from VLPFC and DLPFC and from Conflict neurons. This signal can suppress the ramping activity via inhibitory connections onto Event Timing neurons (2, dark

reinforced by the distinct laminar distribution of the two groups of neurons.

Another class of neurons produced persistent activity on canceled trials after SSRT, for most neurons lasting until the feedback tone. These neurons were not gaze-holding neurons contributing to response inhibition because this facilitation occurred too late to be involved in reactive inhibition. In neurons with enough trials, we observed weaker modulation when monkeys aborted trials after canceling the saccade. Similar signals have been observed in tasks with a cue indicating reinforcement probability<sup>55,56</sup>. However, the activity of these SEF neurons was clearly not explained by error-likelihood, which is the inverse of success probability. Instead, time-based and surprise models could explain this modulation. The inverse relationship between spike rate and surprise implies the contribution of inhibitory neurons with spiking rates directly proportional to surprise. We did not sample many such neurons, but narrow-spiking Conflict neurons can serve this role. The direct relationship between spike rate and  $T_{\text{tope}}$  on the other hand, resembles a common motif for encoding duration<sup>34</sup>. Furthermore, this activity was linked to events occurring after but not before successful stopping. Therefore, we believe that this modulation is explained most parsimoniously by  $T_{tone}$  predicted by the experienced SSD and not surprise. To earn a reward on canceled trials, monkeys needed to sustain unblinking fixation on the stopblue), resetting these neurons for the next ramping phase (3, dark blue), which is terminated by the appearance of the feedback tone (4). Event Timing neurons can project to the caudate nucleus (CN) to inform the fronto-striatal reinforcement learning loop about experienced event timing. Goal Maintenance neurons can delay unwanted movement through the push-pull basal ganglia circuitry. Pyramidal neurons can project to the indirect (D2) pathway and inhibitory neurons can project to other pyramidal neurons, unobserved in this study (gray), that project to the direct (D1) pathway. Inputs from DLPFC and ACC terminating in L2/3 can inform SEF of the anticipated timing of task events for successful completion of the task based on the experienced SSD. These inputs can produce the phasic response in Goal Maintenance neurons (1, red) followed by persisting activity via recurrent connections with balanced excitation and inhibition (2, red). The feedback tone, integrated with the task rule from DLPFC, terminates operant control on behavior through CR and CB inhibition of the sustained activity (3). Further details in the text.

signal until the feedback tone (Fig. 1a). Hence, we conjecture that these neurons contribute to goal maintenance. This conjecture is consistent with an original theory of response inhibition<sup>26</sup> and previous evidence linking SEF to working memory<sup>11,12</sup> and working memory to time estimation<sup>57,58</sup>. Future work can discriminate time-based and surprise parameters and evaluate the link between surprise and goal maintenance.

#### Cortical microcircuitry of executive control

By combining the laminar distribution of the neuron classes described in this study with the anatomical, histological, and neurophysiological properties of SEF neurons, we offer hypotheses about mechanisms by which such signals can be generated and influence other neurons, layers, or brain areas (Fig. 7).

To signal conflict, SEF can be informed about the dynamic state of gaze-shifting and gaze-holding through inputs from FEF and oculomotor thalamic nuclei. Based on previous conjectures<sup>5</sup> and recent biophysical modeling<sup>59</sup> we hypothesize that the integration of information producing the modulation of these neurons is derived through synaptic integration across apical and basal dendrites. The circuitry sufficient for signaling prediction errors<sup>19</sup> can signal the occurrence of conflict in this task. The presence of this signal in all layers enables it to interact with all intrinsic processes and possibly influence all cortical and subcortical efferent targets. For example, a thalamic input that a saccade has been canceled can change the corollary discharge communicated through the thalamus<sup>60</sup>. Consistent with its original conception, communicating conflict (or salience or surprise or just difficulty) to multiple areas simultaneously can coordinate adaptive changes in behavior<sup>61</sup>.

The unexpected parallels between SEF and SNpc modulation patterns invite consideration of cause and effect. SEF is innervated by DA neurons in SNpc<sup>62</sup>. Whilst SNpc DA neurons modulated significantly earlier than the SEF Conflict neurons (Supplementary Fig. 9), the estimated arrival times of DA spikes in SEF were not significantly different from the modulation times of the Conflict neurons after accounting for the very slow conduction of DA axons63 (~100 ms conduction time from SNpc to SEF, Supplementary Fig. 9) and secondmessenger delay. Therefore, we infer that this transient modulation in SEF cannot be caused by DA inputs. Conversely, because axon terminals from SEF are rare in SNpc47,49, SEF neurons are unlikely to directly cause the modulation of the SNpc DA neurons. Instead, other investigators have shown that the phasic DA activation is delivered by the SC<sup>64</sup>. Through the Conflict neurons in L5, SEF can influence SC directly<sup>47</sup>. Curiously, though, the modulation specifically after SSRT scaling with related performance parameters has not been observed in SC<sup>50</sup>.

While Event Timing neurons were found in all layers, those encoding timing regardless of event predictability or action were most common in L3 and L5 with broad spikes consistent with pyramidal neurons. This laminar differentiation demonstrates that the timing of different events can be conveyed by different layer-specific extrinsic connections. The timing signal can be sent via cortico-cortical connections to other cortical areas to influence motor, cognitive, and limbic processes. Also, these neurons can contribute to fronto-striatal pathways to learn and update a representation of the temporal structure of the task<sup>54,65,66</sup>. Axon terminals from SEF are dense in the caudate nucleus<sup>48</sup>, arising from pyramidal neurons in L3 and L5<sup>67,68</sup>. In fact, neurons with this pattern of modulation have been described in a recent investigation of the caudate nucleus of monkeys performing saccade countermanding<sup>42</sup>. Our finding that the suppression in the SEF Event Timing neurons occurred after SSRT, but significantly earlier than those previously reported in the caudate nucleus suggests a primary role of the cortex in this signaling (Supplementary Fig. 9)<sup>42</sup>.

The rapid suppression of the ramping activity after SSRT merits consideration. One source can be intracortical inhibition from the narrow-spiking, putative parvalbumin (PV) neurons that we observed. Another source can be the very small calbindin (CB) and calretinin (CR) neurons concentrated in L2/3 that are innervated by the dorsolateral prefrontal cortex (DLPFC) and selectively inhibit pyramidal neurons<sup>69</sup>, although our methods are unlikely to sample their spikes. We note that although SEF is an agranular structure with weak interlaminar inhibitory connections<sup>22</sup>, CR neurons in L2/3 can potently inhibit L5 neurons through specialized projections on the apical dendrites<sup>70</sup>. This inhibition must be informed about the presence of the stop-signal and inhibition of the saccade. We observe that such a signal is available in the Conflict neurons. However, the suppression of Event Timing neurons occurred significantly earlier than the facilitation of the Conflict neurons. Further research can resolve these cortical interactions.

Goal Maintenance neurons were mainly found in L2/3. Inputs to these layers from DLPFC, ventrolateral prefrontal cortex (VLPFC), and anterior cingulate cortex (ACC) can signal task rules and the expected time of the secondary reinforcer when executive control can be released. Input from these areas can inform SEF of the anticipated reward based on the experienced stop-signal delay, contingent on successful stopping. Dopaminergic release in SEF from SNpc and ventral tegmental area (VTA), with similar time-predicting signals<sup>71</sup>, can enhance these influences through the higher density of D1 relative to D2 receptors in L2/3<sup>72</sup>. The sustained discharge can be maintained through recurrent activation within SEF and between other structures<sup>12</sup>. Also, many Goal Maintenance neurons had narrow spikes, consistent with PV inhibitory neurons, which can balance excitation and inhibition necessary for the maintenance of persistent activity in recurrent networks<sup>73,74</sup>. The auditory feedback tone, integrated with the task rule from DLPFC, cues the termination of operant control on behavior, resulting in the inhibition of pyramidal and inter-neurons by CR and CB neurons. This results in the termination of the sustained activity.

Pyramidal Goal Maintenance neurons can encourage the suppression of movements through projections to the indirect pathway D2 neurons in the striatum<sup>67,68</sup>. Intrinsic inhibitory Goal Maintenance neurons can suppress the movement by inhibiting pyramidal neurons projecting the direct pathway D1 and to the frontal eye field. As PV neurons in primates largely lack extrinsic connections<sup>75</sup>, we propose that this can be mediated by the inhibition of other excitatory neurons (unidentified neurons and possibly Gain neurons identified in ref. 17) that send projections to these motor structures (gray neurons in Fig. 7, right panel). Therefore, Goal Maintenance neurons can achieve their role by altering the balance in the push-pull mechanism mediated by the direct (D1) and indirect (D2) pathways. This function is consistent with the observation that many of these neurons also exhibited higher activity after the feedback tone on unrewarded trials, previously described, and this activity influenced post-error adjustments in RT<sup>17</sup>. This is while the facilitation of response in Goal Maintenance neurons did not influence post-canceling changes in RT. Therefore, it is possible that these signals have different influences on their efferent targets depending on the task epoch.

We note that neurons with facilitated activity after SSRT were described in an investigation of the caudate nucleus of monkeys performing saccade countermanding<sup>42</sup>. The facilitation in the caudate nucleus coincided with that measured in SEF (Supplementary Fig. 9). The parallel between SEF and the striatum in patterns of modulation associated with proactive but not reactive inhibition is surprisingly, but satisfyingly, clear.

#### **Event-related potentials**

We showed that macaque monkeys exhibit an N2/P3 ERP complex homologous to humans<sup>7</sup>. Disagreement persists about the frontal N2 and P3 index<sup>76,77</sup>. We found that the amplitude of the N2 during the stop-signal task varied most with the likelihood of error associated with experienced SSDs, and not conflict or SSD as previously suggested<sup>7,78</sup>. Consistent with previous reports of P3 indexing expectation and timing of behavior<sup>76</sup>, we found that P3 amplitude co-varied most with the expected time of the feedback tone.

Variation in N2 and P3 polarization was predicted by the spiking of specific neuron classes in L2/3 and not L5/6. N2 magnitude was unrelated to the spiking of Goal Maintenance neurons but co-varied with the spiking of Conflict and Event Timing neurons, as well as the spiking of other neurons that did not modulate around the time of successful stopping. In contrast, P3 amplitude was predicted by the spiking of Goal Maintenance but not Conflict or Event Timing neurons. Also, N2 timing coincided with maximal modulation of Conflict and Event Timing neurons while P3, with Goal Maintenance neurons. A relationship between L2/3 spiking and these ERPs may appear trivial because the upper layers are closer to the surface EEG electrodes, but the result merits attention for several reasons. First, action potentials are too brief to generate the EEG, so the association with L2/3 spiking entails an association with coherent synaptic potentials<sup>79</sup>. Second, EEG polarization is related to the strength and orientation as much as the proximity of a dipole, and biophysical models of EEG sources assume that the larger L5 pyramidal neurons are the major contributor<sup>46</sup>. Finally, because ERPs arise from multiple sources, a dipole in one region can be canceled by a dipole oppositely oriented in another region. Therefore, it is unlikely that these L2/3 neurons are directly

causing these ERPs. It is more likely that the same synaptic potentials that result in the activation of these L2/3 neurons are also generating the EEG. Our results establish the N2 and P3 as possible biomarkers of the activity of neurons in the upper layers of SEF serving executive control functions. These results indicate that cognitive ERPs reflect diverse neuro-computational processes, rendering unitary and exclusive hypotheses incomplete.

#### Incidence and multiplexing of signals

Here and in our previous report, we distinguished specific categories of neural signals. Different numbers of units were sampled in each category. Knowing that neural sampling with extracellular recording is biased in various ways, we cannot infer with confidence the importance of a process or the magnitude of computational contribution based on the number of units sampled. However, we will consider the reliability of the categories and the mixture of signals produced by single neurons.

The three signals reported here were multiplexed in single neurons with previously reported error and reinforcement signals<sup>17</sup>. That is, some neurons produced one kind of functional signal around the time of successful stopping and another at the time of reinforcement. Such multiplexing has been observed previously and can appear for several reasons<sup>80,81</sup>. First, modulation patterns may be too weak and variable to distinguish classes of neurons. Our selection criteria for neurons to analyze avoided this by including only neurons with distinct patterns of modulation. Second, frontal lobe neurons support diverse inputs and outputs from multiple cortical areas and subcortical nuclei. Therefore, a neuron can participate in partially overlapping but distinct networks such that in one state neurons broadcast one signal to some efferent targets, while in another state they broadcast another signal to other efferent targets. Theories about mixed-selectivity and dynamical systems have emphasized state-dependent dynamics<sup>6,80</sup>, but they have not incorporated the specificity of laminar properties derived from specialized connectivity. Third, our classification of signals was based on response dynamics around the time of successful stopping, but we know of no theoretical or empirical prohibition against neurons modulating in association with multiple events. Ultimately, different neurons in different layers receive different inputs and have different outputs. Therefore, understanding the laminar distribution of signals reported in this study is a necessary step toward formulating more specific hypotheses about how neural networks function<sup>82</sup>.

In conclusion, the present results add to the first catalog of the laminar functional architecture of an agranular frontal lobe area. Pioneering insights into the microcircuitry and mechanisms of the primary visual cortex began by describing the properties of neurons in different layers<sup>18</sup>. Contrasts of the results reported here with primary sensory areas will reveal the degree of computational uniformity across cortical areas. Being a source contributing to ERPs indexing performance monitoring and executive control, details about laminar processing in SEF offer unprecedented insights into the microcircuitry of executive control. These results validate the tractability of formulating neural mechanism models of performance monitoring and executive constrained by formal<sup>26</sup>, algorithmic<sup>29,30</sup>, and spiking network<sup>51</sup> models of performance of a task with clear clinical relevance<sup>83</sup>.

#### Methods

#### Animal care and surgical procedures

Data was collected from one male bonnet macaque (Eu, *Macaca radiata*, 8.8 kg, 6 y.o.) and one female rhesus macaque (X, *Macaca mulatta*, 6.0 kg, 8 y.o.) performing a countermanding task<sup>21,25</sup>. All procedures were in accordance with the National Institutes of Health Guidelines, the American Association for Laboratory Animal Care Guide for the Care and Use of Laboratory Animals and approved by the

Vanderbilt Institutional Animal Care and Use Committee in accordance with the United States Department of Agriculture and Public Health Service policies. Surgical details have been described previously<sup>84</sup>. Briefly, magnetic resonance images (MRIs) were acquired with a Philips Intera Achieva 3 T scanner using SENSE Flex-S surface coils placed above or below the animal's head. T1-weighted gradient-echo structural images were obtained with a 3D turbo field echo anatomical sequence (TR = 8.729 ms; 130 slices, 0.70 mm thickness). These images were used to ensure Cilux recording chambers were placed in the correct area. Chambers were implanted normal to the cortex (Monkey Eu: 17°; Monkey X: 9°; relative to stereotaxic vertical) 1 mm right of the midline, 30 mm (Monkey Eu) and 28 mm (Monkey X) anterior to the interaural line.

#### **Acquiring EEG**

EEG was recorded from the cranial surface with electrodes located over the MFC. Electrodes were referenced to linked ears using ear-clip electrodes (Electro-Cap International). The EEG from each electrode was amplified with a high-input impedance head stage (Plexon) and bandpass filtered between 0.7 and 170 Hz. Trials with blinks within 200 ms before or after the analysis interval were removed.

#### Cortical mapping and electrode placement

Chambers implanted over the medial frontal cortex were mapped using tungsten microelectrodes (2–4 M $\Omega$ , FHC, Bowdoin, ME) to apply 200 ms trains of biphasic micro-stimulation (333 Hz, 200 µs pulse width). The SEF was identified as the area from which saccades could be elicited using  $<50 \,\mu$ A of current<sup>85,86</sup>. In both monkeys, the SEF chamber was placed over the left hemisphere. The dorsomedial location of the SEF makes it readily accessible for linear electrode array recordings across all cortical layers. A total of five penetrations were made into the cortex-two in monkey Eu, and three in monkey X. Three of these penetration locations were perpendicular to the cortex. In monkey Eu, the perpendicular penetrations sampled activity at site P1. located 4 mm lateral to the midline and 31 mm anterior to the interaural line. In monkey X, the perpendicular penetrations sampled activity at sites P2 and P3, located 4 mm lateral to the midline and 29 and 30 mm anterior to the interaural line. respectively.

#### Acquiring neural spiking

Spiking activity and local field potentials were recorded using a 24channel Plexon U-probe with 150  $\mu$ m between contacts, allowing sampling from all layers. The U-probes were 100 mm in length with 30 mm reinforced tubing, 210  $\mu$ m probe diameter, 30° tip angle, with 500  $\mu$ m between the tip and first contact. Contacts were referenced to the probe shaft and grounded to the headpost. We used custom-built guide tubes consisting of 26-gauge polyether ether ketone (PEEK) tubing (Plastics One, Roanoke, VA) cut to length and glued into 19gauge stainless steel hypodermic tubing (Small Parts Inc., Logansport, IN). This tubing had been cut to length, deburred, and polished so that they effectively support the U-probes as they penetrated the dura and entered the cortex. The stainless-steel guide tube provided mechanical support, while the PEEK tubing electrically insulated the shaft of the Uprobe, and provided an inert, low-friction interface that aided in loading and penetration.

Microdrive adapters were fit to recording chambers with <400  $\mu$ m of tolerance and locked in place at a single radial orientation (Crist Instruments, Hagerstown, MD). After setting up hydraulic microdrives (FHC, Bowdoin, ME) on these adapters, pivot points were locked in place by means of a custom mechanical clamp. Neither guide tubes nor U-probes were removed from the microdrives once recording commenced within a single monkey. These methods ensured that we were able to sample neural activity from precisely the same location relative to the chamber on repeated sessions.

Electrophysiology data were processed with unity-gain highinput impedance head stages (HST/32o25-36P-TR, Plexon). Spiking data were bandpass filtered between 100 Hz and 8 kHz and amplified 1000 times with a Plexon preamplifier, filtered in software with a 250 Hz high-pass filter, and amplified an additional 32,000 times. Waveforms were digitized at 40 kHz from -200 to  $1200 \,\mu$ s relative to voltage threshold crossings. Thresholds were typically set at 3.5 standard deviations from the mean. All data were streamed to a single data acquisition system (MAP, Plexon, Dallas, TX). Time stamps of trial events were recorded at 500 Hz. Single units were sorted online using a software window discriminator and refined offline using principal components analysis implemented in Plexon offline sorter.

#### Cortical depth and layer assignment

The retrospective depth of the electrode array relative to gray matter was assessed through the alignment of several physiological measures. Firstly, the pulse artifact was observed on a superficial channel which indicated where the electrode was in contact with either the dura mater or epidural saline in the recording chamber; these pulsated visibly in synchronization with the heartbeat. Secondly, a marked increase of power in the gamma frequency range (40–80 Hz) was observed at several electrode contacts, across all sessions. Previous literature has demonstrated elevated gamma power in superficial and middle layers relative to deeper layers<sup>87</sup>. Thirdly, an automated depth alignment procedure was employed which maximized the similarity of CSD profiles evoked by passive visual stimulation between sessions<sup>21</sup>. Further details can be found in ref. 21.

Further support for the laminar assignments was provided by an analysis of the depths of SEF layers measured in histological sections visualized with Nissl, neuronal nuclear antigen (NeuN), Gallyas myelin, acetylcholinesterase (AChE), non-phosphorylated neurofilament H (SMI-32), and the calcium-binding proteins parvalbumin (PV), calbindin (CB), and calretinin (CR)<sup>17,21</sup>. Additional information about the laminar structure was assessed through the pattern of cross-frequency phase-amplitude coupling across SEF layers<sup>23</sup>. Owing to variability in the depth estimates and the indistinct nature of the L6 border with white matter, some units appeared beyond the average gray-matter estimate; these were assigned to the nearest cellular layer.

#### Acquiring eye position

Eye position data were collected at 1 kHz using an EyeLink 1000 infrared eye-tracking system (SR Research, Kanata, Ontario, Canada). This was streamed to a single data acquisition system (MAP, Plexon, Dallas, TX) and combined with other behavioral and neurophysiological data streams.

#### Data collection protocol

Visual stimulus generation, event timing, and task control were done with TEMPO (version 16.12, set 49.20; Reflective Computing). The same protocol was used across monkeys and sessions. In each session, the monkey sat in an enclosed primate chair with its head restrained 45 cm from a CRT monitor (Dell P1130, background luminance of 0.10 cd/m<sup>2</sup>). The monitor had a refresh rate of 70 Hz, and the screen subtended  $46^{\circ} \times 36^{\circ}$  of the visual angle. After advancing the electrode array to the desired depth, they were left for 3-4 h until recordings stabilized across contacts. This led to consistently stable recordings with single units typically held indefinitely. Once these recordings stabilized, an hour of restingstate activity in near-total darkness was recorded. This was followed by the passive presentation of visual flashes followed by periods of total darkness in alternating blocks. Finally, the monkey performed approximately 2000 trials of the saccade countermanding (stopsignal) task per session.

#### Countermanding task

The countermanding (stop-signal) task utilized in this study has been widely used previously<sup>1</sup>. Briefly, trials were initiated when monkeys fixated on a central point. Following a variable time period, drawn from an aging function to avoid anticipation of the visual stimulus<sup>53</sup>, the center of the fixation point was removed leaving an outline. Simultaneously, a peripheral target was presented to the left or right of the screen.

On no-stop-signal trials, the monkey was required to shift its gaze to the target. Fixation on the target was required for 600 ms until an auditory tone sounded, whereupon monkeys could shift their gaze anywhere. The fluid reward was delivered 600 ms later. On stop-signal trials, comprising less than half of all trials, the center of the fixation point was re-illuminated after a variable stop-signal delay (SSD). An initial set of SSDs, typically separated by ~50 ms for Monkey Eu  $(45 \pm 15 \text{ ms})$  and by ~100 ms for monkey X (115 ± 17 ms), were selected for each recording session. The selection of SSDs was adjusted to the idiosyncrasies of each subject to ensure performance satisfying key criteria for the stop-signal tasks. Different SSD values were used for the two subjects to account for between-subject differences in stopping performance<sup>1</sup>. To ensure that monkeys failed to countermand on ~50% of stop-signal trials, SSD was adjusted through an adaptive staircasing procedure. When a monkey failed to inhibit a response, the SSD was decreased by 1, 2, or 3 steps (randomly drawn) to increase the likelihood of success on the next stop trial. When a monkey canceled the saccade, SSD was increased by 1, 2, or 3 steps (randomly drawn) to decrease the likelihood of success on the next stop trial. On stop-signal trials, the monkey was required to maintain fixation on the central point until the tone sounded, whereupon monkeys could shift gaze anywhere. The fluid reward was delivered 600 ms later. By design, the duration from the target presentation until the tone was a fixed interval of 1500 ms. Thus, as SSD increased, the duration of fixation decreased (Fig. 1a).

Performance on this task is characterized by the probability of not canceling a saccade as a function of the SSD (the inhibition function) and the distribution of latencies of correct saccades in no-stop-signal trials and of noncanceled error saccades in stop-trials. Performance of the stop-signal task is explained as the outcome of a race between a GO and a STOP process<sup>26</sup>. The race model provides an estimate of the duration of the covert STOP process, and the time taken to accomplish response inhibition, known as stop-signal reaction time (SSRT)<sup>29,30,51</sup>. SSRT was calculated using two approaches-the conventional weighted-integration method and the more recent Bayesian Ex-Gaussian Estimation of Stop-Signal RT distributions (BEEST)<sup>88</sup> (Supplementary Figs. 4a, 5a, 6a). Compared to the weighted integration method, the Bayesian approach provides estimates of the variability in SSRT and the fraction of trigger failures for a given session<sup>88</sup>. Individual parameters were estimated for each session. Based on previous implementations of this approach, the priors were bounded uniform distributions ( $\mu_{Go}$ ,  $\mu_{\text{Stop}}$ : U(0.001, 1000);  $\sigma_{\text{Go}}$ ,  $\sigma_{\text{Stop}}$ : U(1, 500)  $\tau_{\text{Go}}$ ,  $\tau_{\text{Stop}}$ : U (1, 500); pTF: U (0,1)). The posterior distributions were estimated using Metropolis-within-Gibbs sampling ran multiple through three chains. We ran the model for 5000 samples with a thinning of 5. None of our conclusions depend on the choice of SSRT calculation method. Full summary statistics of this analysis can be found in the data repository.

#### Analysis of EEG

Methods paralleling those used in human studies were used. The N2 and P3 were obtained from average EEG synchronized on stop-signal presentation. Peak N2 was the time when the mean ERP reached maximal negativity in a 150–250 ms window after the stop-signal. Peak P3 was the time when the mean ERP was in a 250–400 ms window after the stop-signal. The amplitude of the N2 and P3 was quantified as the mean Z-transformed voltage for each SSD in a ± 50 ms window around

the maximal ERP deflection determined for each session. Indistinguishable results were obtained with wider ( $\pm 75$  ms), and narrower ( $\pm 25$  ms) windows or just the instantaneous maximal polarization. To characterize the polarizations associated with response inhibition, a difference ERP ( $\Delta$ ERP) was obtained by subtracting from the ERP recorded on canceled trials the ERP recorded on RT-matched no-stopsignal trials.

#### Analysis of neural spiking

Spike widths exhibited a bimodal distribution (Supplementary Fig. 1a), and neurons were distinguished as narrow- (peak-to-trough duration  $\leq 250 \,\mu$ s) or broad-spikes (>250  $\mu$ s). Measurements of neural spiking were based on spike density functions (SDF) produced by convolving the spike train with a kernel resembling a postsynaptic potential defined by

$$SDF(t) = \left(1 - \exp\left(\frac{-t}{\tau_g}\right)\right) \times \exp\left(\frac{-t}{\tau_d}\right)$$
 (3)

with growth time constant ( $\tau_g$ ) of 1 ms, and decay time constant ( $\tau_d$ ) of 20 ms, corresponding to the values measured for excitatory postsynaptic potentials. The area of the kernel was set to equal 1. To analyze spiking activity associated with successful stopping, we compared the activity on canceled trials and on no-stop-signal trials with RT greater than SSD+SSRT. This latency-matching compares trials in which countermanding was successful with trials in which countermanding would have been successful had the stop signal been presented. Neurons were distinguished by patterns of modulation consisting of periods of facilitation or suppression using a consensus clustering algorithm<sup>28</sup> (Fig. 1c, Supplementary Fig. 1c–e). The input to this analysis pipeline was the SDF on canceled trials and on latency-matched no-stop-signal trials during the 100 ms preceding SSRT and 200 ms following SSRT. Results did not change much if interval durations were changed.

To prevent outlying values from exerting excessive influence, population spike density plots were obtained by scaling the SDF of each neuron by the 95% confidence interval between the 2.5% lowest rate and the 97.5% highest rate in one of two intervals. The first interval was a 600 ms window centered on SSRT on canceled and on no-stop-signal trials. The second interval was –100 to +300 ms relative to the feedback tone.

To identify spiking modulation, we applied methods previously employed. First, we calculated a difference function ( $\Delta$ SDF), the difference between the SDF on canceled and latency-matched no-stopsignal trials. Periods of statistically significant modulation were identified based on multiple criteria–(a) the difference function must exceed by at least 2 standard deviations a baseline difference measured in the 100 ms interval before the target appeared, (b) the difference must occur from 50 ms before to 900 ms after the stop-signal, and (c) the difference must persist for at least 100 ms (or for 50 ms if the difference exceeded baseline by 3 standard deviations). As commonly found in the MFC, some neurons exhibited low spiking rates. To obtain reliable estimates of modulation times, we also convolved the SDF with a square 8 ms window. The modulation intervals were validated by manual inspection.

To determine modulation associated with the systematically variable timing of the feedback tone on canceled trials, the SDF was compared against the minimum value found between 500 ms before and 900 ms after the tone. Focusing on modulation occurring only during the period of operant control on behavior, modulations beginning less than 300 ms after the tone were not included. For comparisons across neurons and sessions, *Z*-transformed SDF or  $\Delta$ SDF was used.

We fit variation in spike counts or EEG voltage to models of task events and performance outcomes (Fig. 1, Supplementary Table 2, Supplementary Fig. 3). To determine which performance measure accounted best for the variation of neural measures, the performance and neural quantities were averaged within groups of early-, mid-, and late-SSD trials. SSD values greater than -350 ms were not included because too few canceled trials were obtained. The analysis of the facilitation after SSRT was based on  $\Delta$ SDF (Figs. 3 and 5), but the major conclusions held if the analysis used SDF. The analysis of the modulation before SSRT or the feedback tone (Fig. 4) was based on the SDF of canceled trials. Before SSRT the SDF of canceled and no-stop-signal trials was not different. Before the feedback tone, the interval was variable on canceled trials but not on no-stop-signal trials, and longer on canceled relative to no-stop-signal trials.

Each model was defined by one parameter. A limited number of SSDs (typically between 3–7, divided into 3 bins) offered sufficient trials for analysis. Because of the dependence of the tested parameters on SSD, the values for each parameter varied with SSD. Although performance and behavioral parameters could be correlated, the non-linear relations between them and session-wise variations empowered effective model comparison (Supplementary Fig. 3b).

Mixed-effects models of  $\Delta$ SDF, SDF, or  $\Delta$ ERP values in relation to the various performance measures were compared using Bayesian Information Criteria (BIC), which provides a criterion for model selection. We report the results of the most basic version of each model with the main effect term corresponding to the performance parameter and random intercepts grouped by a neuron (for spiking) or session (for EEG). The values for each performance parameter were z-transform normalized for a fair comparison between models related to different quantities. All models had the same degrees of freedom, allowing direct comparison of BIC values between models. The smallest BIC identified the best model.  $\Delta$ BIC (BIC<sub>best</sub>-BIC<sub>competing</sub>) quantified the fit of the other models relative to the best with  $\Delta BIC < 2$  were considered as candidate models.  $2 < \Delta BIC < 6$  earning weak support. and  $\Delta BIC > 6$  supporting rejection of the model<sup>89,90</sup>. Models with nonsignificant main effects were also rejected. More complex versions of these models resulted in similar conclusions. Mixed-effects models were performed using MATLAB's Statistical Toolbox.

#### Relating RT and neural spiking

All neurons were tested. For facilitated neurons, we counted spikes immediately following SSRT, within the neuron-specific modulation window. For suppressed neurons, we counted spikes in a 20 ms interval centered on the peak of the ramp prior to the suppression. Because both spiking activity and RT varied with SSD, a multiple linear regression model controlled for SSD to test whether spike rates varied with RT.

#### Relating N2/P3 and neural spiking

We used the method described previously to establish the relationship between spiking activity and the  $\text{ERN}^{17}$ . Single-trial spiking was the mean convolved spike data for that trial recorded from neurons in L2/3 and in L5/6 of perpendicular penetrations within ±50 ms of the N2 and P3 peaks. To account for variations in ERP voltage and spike counts across sessions, a fixed-effects adjustment was performed by centering each distribution on its mean and dividing by its most extreme value. To measure the N2/P3 amplitudes robustly, we grouped rank-ordered single-trial ERP values into 20 successive bins. From trials in each bin, we calculated the mean N2 and mean P3 magnitude (dependent variable), the mean spike count in the upper and lower layers (independent variables), and the average SSD, on Canceled trials. Data from all sessions were combined for a pooled partial correlation. Each point in Fig. 6 plots the paired values of the mean normalized ERP voltage and normalized activity for each of the 20 bins from every session. The statistical relationship between ERP magnitude and spiking activity was quantified through multiple linear regression on normalized data pooled across sessions. Two factors were considered: (1) spiking activity in L2/3, and (2) spiking activity in L5/6. Both spiking activity and ERPs also varied with SSD but the inclusion of SSD as a predictor did not change the results.

#### **Reporting summary**

Further information on research design is available in the Nature Research Reporting Summary linked to this article.

#### Data availability

Other aspects of the behavioral and neural dataset have been published previously<sup>17,21,23</sup>. The processed data used in this study are openly available online through the Open Science Framework (OSF). https://doi.org/10.17605/OSF.IO/3RZJX. Available online via: https:// osf.io/3rzjx/. The raw data analyzed in the current study are available from the corresponding author on reasonable request.

#### **Code availability**

The analysis codes used in this study are openly available online through the Open Science Framework (OSF). https://doi.org/10.17605/ OSF.IO/3RZJX. Available online via: https://osf.io/3rzjx/.

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#### **Competing interests**

The authors declare no competing interests.

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# Functional architecture of executive control and associated event-related potentials in macaques

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#### Supplementary Figure 1 | SEF laminar structure and neuron classification.



**a**, Distributions of depths of units and spike widths sampled. Horizontal histogram shows the spike widths of all neurons sampled (n = 575), which exhibited bimodal values. The vertical line marks the 250 µs separation criterion used. Scatterplot shows variation of spike width across depth for neurons sampled in perpendicular penetrations (n = 293). Narrow spiking neurons are indicated by stars and broad spiking neurons by triangles. The number of neurons at each time-depth indicated by gray scale (darker indicating higher count). The width of the spikes narrower than 250 µs does not vary with depth, and the incidence of encountering narrow spikes parallels the density of parvalbumin (PV) neurons <sup>1</sup>. The width of spikes wider than 250 µs increases from L3 to L6, which parallels the size of pyramidal neurons. Also, the

incidence of isolated neurons decreases with depth, which parallels the density of pyramidal neurons. Vertical histogram shows the depths of all neurons sampled during the saccade countermanding task from the sessions with penetrations oriented perpendicular to the cortical layers. The color scale used for the time-depth plots in Fig. 2, 3, 5, and 5 indicates the number of neurons of each class sampled relative to the entire sampling distribution. Adapted from <sup>2</sup>.

**b**, Laminar structure of SEF. Top row, from left to right are shown sections through SEF stained for Nissl, NeuN, nonphosphorylated neurofilament H (SMI-32), cytochrome oxidase (CO), Gallyas myelin, vesicular glutamate transporter 2 (VGLUT2), acetylcholinesterase (AChE), calretinin (CR), calbindin (CB), and PV. Counts of CR, CB, and PV-stained neurons are adapted from <sup>1</sup>. In SEF, L1 is ~200 µm thick with some CR and CB but no PV neurons, and weak staining for CO, myelin, and VGLUT2. L2 is ~300 µm thick with dense stellate and few pyramidal neurons, the highest density of CR and CB neurons, but no SMI-32, stronger CO staining, fascicular myelin fibers, slightly stronger VGLUT2, and modest AChE. L3 is ~700 µm thick with a superficial sublayer with smaller pyramidal neurons and weak SMI-32 staining, very few CR, and modest densities of CB and PV neurons, stronger CO staining and denser myelin, VGLUT2, and AChE. A deeper sublayer is characterized by larger pyramidal neurons, pronounced SMI-32 staining, vanishingly few CR, less dense CB and modestly dense PV neurons, weaker CO, denser myelin and VGLUT2, and denser AChE. No granular L4 is evident in SEF. L5 is ~300 µm thick with large pyramidal neurons but inconsistent SMI-32 staining, no CR and fewer CB but modest density of PV neurons, lighter CO, denser myelin, lighter VGLUT2, and diminishing AChE staining. L6 is ~700 µm thick with smaller pyramidal neurons, with lighter CO, still denser myelin, lighter VGLUT2 and sparse AChE staining.

Lower row, sections processed for receptor autoradiography and color coded to visualize the laminar densities of various receptors adapted from <sup>3</sup>. The color scale maps to densities in fmol/mg protein with (minimum, maximum) levels as indicated for the following receptors: kainate (20, 1200), AMPA (20, 750), NMDA (200, 2500), GABA<sub>A</sub> (100, 3200), GABA<sub>B</sub> (150, 3500), GABA<sub>A/Bz</sub> (200, 3500), muscarinic M<sub>1</sub> (100, 1200), muscarinic M<sub>2</sub> (10, 350), muscarinic M<sub>3</sub> (100, 1200), adrenergic  $\alpha_1$  (50, 700), adrenergic  $\alpha_2$  (20, 800), serotinergic 5-HT<sub>1A</sub> (20, 700), and serotinergic 5-HT<sub>2</sub> (100, 500). Receptor densities reveal pronounced differences between L2/3 and L5/6 and other differences distinguishing L2 from L3 and L5 from L6. The variation in laminar structure can guide the investigation and interpretation of functional architecture of SEF.

c-e, Heat-maps representing normalized spike-density function for all neurons reported in this paper. These neuron classes emerged from our neuron classification pipeline (Fig. 1c). In a sample of 575 neurons, we took the following steps to identify distinct types of neurons. First, we identified 271 with significant changes in spiking rate following presentation of the stop-signal until 200 ms after stop-signal reaction time (SSRT) on successfully canceled trials. Second, the spike density function on canceled and latency-matched no-stop-signal trials in intervals 0-100 ms before and 0-200 ms after SSRT were submitted to an unsupervised consensus clustering pipeline <sup>4</sup>. 5 clusters were identified. Neurons were distinguished by a relative increase or decrease in discharge rate on canceled compared to latency-matched no-stopsignal trials. Cluster 1 included 146 neurons; cluster 2, 65 neurons; cluster 3, 28 neurons; and cluster 4, 16 neurons, and cluster 5, 16 neurons. Clusters 1 and 2 formed the majority of neurons and were analyzed further. The clustering results were verified by manual curation, resulting in some cluster 3, 4, and 5 neurons being included in clusters 1 and 2 and removal of neurons with poor signal quality. Therefore, this clustering procedure justified the consideration of two general types of modulation: facilitation (i.e., relatively higher activity on canceled trials) and suppression (i.e., relatively lower activity on canceled trials). Within each cluster we observed heterogeneity in the latency, duration, and magnitude of modulation after SSRT and around the time of feedback tone. Tone modulation was indexed by the contrast (difference divided by sum) between spike counts 200 ms before and 200 ms after the feedback tone. Each neuron was located in a 3D space based on these values. K-means clustering of the normalized values distinguished two clusters among facilitated neurons primarily based on modulation duration. Arriving at k = 2 clusters was justified by the Elbow method (analysis of with-in sum of distance to center) and the Silhouette score (inset panel, Fig 1c). K-means clustering did not distinguish among the suppressed neurons (not shown). Thus, this rigorous classification approach resulted in three neuron classes based only on the pattern of modulation around the time of SSRT with no presumptions about their functional role. The distinct patterns of modulation were reinforced by qualitative examination of clear differences among population spike density functions (Fig. 3, 4, 5). The functional roles and further distinctions based on different patterns of modulation around the time of tone are described in the main text.

**c**, Heat-maps representing normalized spike-density function on successful canceled trials for all neurons reported in this paper. The spike-density function for each neuron is normalized to its peak activity ±300 ms around SSRT. The left panel plots activity aligned on stop-signal reaction time (SSRT) (dashed black line). The right panel plots activity aligned on the feedback tone (solid line). Each row corresponds to activity of one neuron with higher discharge rates in hotter, and lower discharge rates in cooler colors. The time course of activation that distinguished conflict, event-timing, and goal maintenance neurons is evident in the three pairs of panels. Similarities and differences between these SEF neurons and

DA neurons in SNpc and neurons in striatum sampled during saccade countermanding is afforded by comparison with Fig. 2 and 5 of <sup>5</sup>.

**d**, Heat-maps representing the difference in spike-density function on successful canceled trials relative to latencymatched no-stop-signal trials, aligned on SSRT, selected for the early stop-signal delay (SSD). The difference function for each neuron is normalized to the maximum deviation. Hot colors represent facilitation and cold colors represent suppression on canceled relative to no-stop-signal trials. The patterns of activation that distinguish the three major categories of neurons are more evident across the three panels. Similarities and differences between these SEF neurons and DA neurons in SNpc and neurons in striatum sampled during saccade countermanding is afforded by comparison with Fig. 2 and 5 of <sup>5</sup>.

**e**, Heat-maps representing the difference in spike-density function on successful canceled trials relative to noncanceled trials (despite the inherent difference in underlying RT), aligned on SSRT, selected for an intermediate SSD with enough trials of both types. The patterns of activation that distinguish the three major categories of neurons are more evident across the three panels. Similarities and differences between these SEF neurons and DA neurons in SNpc and neurons in striatum sampled during saccade countermanding is afforded by comparison with Fig. 2 and 5 of <sup>5</sup>.

Supplementary Figure 2 | Rationale for analysis of neural activity.



**a**, The primary analysis was based on the principles of the Logan race model, which have been used extensively <sup>6-8</sup>. Diagram of density (top) and cumulative (bottom) distributions of RT on trials with no stop-signal portrays the application of the race model for a given SSD. Because performance is the outcome of a race, at a given SSD, the finish time of the STOP process (SSRT) is the RT of trials with no stop-signal at which the fraction of the all trials equals p(NC | SSD) (shaded in density function and dotted in cumulative distribution). The race model entails that the no-stop-signal trials with RT > SSD + SSRT are so slow that they would have been canceled if the stop-signal had appeared. Therefore, we compared neural activity on canceled trials when response inhibition happened with activity on no-stop-signal trials when no response inhibition happened but with RT long enough that if the stop-signal had been presented, the saccade would have been canceled. By latency-matching canceled trials to no-stop-signal trials with RT > SSD + SSRT, the dynamics of the neural processes governing saccade initiation are equated. Likewise, noncanceled trials can be latency matched to no-stop-signal trials with RT < SSD + SSRT.

**b**, Activity on no-stop-signal (thin solid line), canceled (thick solid line), and error noncanceled (thin dashed) trials for neurons classes with post-SSRT modulation. Modulation related to successful stopping was determined based on the difference in activity between canceled and latency-matched no-stop-signal trials. Noncanceled trials were not used for analyzing modulation related to response inhibition for two reasons. First, failures of response inhibition in this task happen when RT is too short, which is the opposite of how successful inhibition occurs. Second, noncancelled trials elicit additional processes related to error detection. To illustrate, we have divided each neuron class into those without (top) and with (bottom) error-related modulation. The minority of Conflict (10/75), Goal Maintenance (4/54) and Event timing neurons (25/84) produced an error signal. Also, post-saccadic modulation in noncanceled and no-stop-signal trials is similar for neurons without but not with error-related modulation.



100 200 300 400 500

SSD (ms)

#### Supplementary Figure 3 | Time parameters and their relationships used to test neural modulation.

0.0: 1000 1100 1200 1300 1400 Tone time (ms)

b Comparison between Conflict model and other models tested for post-SSRT facilitation, N2, and P3



Comparison between S(t) subjective, SSseen model and other models tested for post-SSRT facilitation, N2, and P3

R = 0.66 R = -0.66 R = 0.44 R = 0.21 400 ł, •." 1.1.1.1. 1 1400 SSD) 0.6 p(NCISSD) QSS 200 Thong 1300 0. 0.5 6rroi 1 p(NC) 1200 0.2 ~ 5 0 ľ0 0 2 3 4 1 2 3 2 3 4 2 3 4 Subjective, C-trials Absolute, SS seen Dynamic, SS seeh R = 0.93 R = 0.98 R = 0.99 Stop-signal surprise s(t) = - In [ h(t) ] 3 3 2 2 2 3 4 2 3 4 2 3 d s(t), Subjective, SS seen

Comparison between log(SSD) model and other models tested for pre-SSRT activity



Comparison between log(Ttone) model and other models tested for pre-Tone activity



**a**, Patterns of neural spiking were analyzed in relation to multiple hazard rate (expectancy), and surprise measures. Stopsignals were scheduled to be presented at a range of times (**a-1**, left, white bars). At most times the stop-signal was seen (SS<sub>seen</sub>, blue bars), which included canceled trials (gray bars) and explicit error noncanceled trials with RT > SSD (NC<sub>error</sub>). By design, on canceled trials, the interval between presentation of the visual target and of the feedback tone was fixed at 1,500 ms. Thus, the interval from stop-signal to success tone (T<sub>tone</sub>) was just T<sub>tone</sub> = 1500 – SSD (**a-1**, right). Monkeys can learn temporal regularities to form moment-by-moment expectations for task events. Neural responses can encode this expectation prospectively, before the event, or surprise about violation of these expectations, retrospectively, after the event. Expectation was operationalized by hazard rate. Surprise was calculated as the Shannon Information, derived from the hazard rate. Guided by previous research <sup>9-13</sup>, three kinds of hazard rate were calculated based on different assumptions: (i) the absolute hazard rate, (ii) subjective hazard rate, and (iii) dynamic hazard rate.

Absolute hazard rate was derived from the distribution of time (t) values as h(t) = f(t) / [1-F(t)], where f(t) is the density distribution and F(t) is the cumulative distribution. Monkeys can gain knowledge only from SSD that are experienced, so we used only trials in which stop-signal was seen before the response (SS<sub>seen</sub> trials). We also considered the possibility that the knowledge from task structure is gained in a trial-specific manner, only restricted to canceled trials (C-trials). Now, because stop-signal presentation was probabilistic with ~40% likelihood, the hazard function was calculated with the conditional probability of stop-signal appearance for each session. This conditional probability accounted for the fact that on a given trial, because of the uncertainty associated with the current trial being a stop-signal trial, if time passes and no stop-signal is observed, the belief about the current trial being a stop-signal trial drops, reducing the expectation for stop-signal appearance. Consequently, conditional hazard rate does not approach 1.0 and is not monotonic. Because our approach relied on mean responses across many trials having different patterns of preceding trial history within each SSD bin, we assumed variations in the inferred state only change due to passage of time within a trial. A conditional probability was not necessary for the hazard rate of T<sub>tone</sub> because the feedback tone occurred with 100% certainty. Representative plots of the absolute hazard rates of SSD and T<sub>tone</sub> are shown in a-2 and a-5 (red) and the associated surprise for SSD is shown in a-2 (blue). The quantities shown here are based on C-trials, corresponding to h(t) Absolute, C-trials and s(t) Absolute, C-trials. We did not analyze the period after the tone, therefore surprise for tone was not considered.

Subjective hazard rate was derived from time (t) values that incorporated the imprecision in time perception. The estimation of elapsed time has increasing uncertainty over longer intervals <sup>14</sup>. To account for this imprecision, f(t) was convolved with a Gaussian kernel with standard distribution proportional to the elapsed time:

$$g(t) = \frac{1}{\emptyset t \sqrt{2\pi}} \int_{-\infty}^{\infty} f(t) e^{-\left(\frac{\tau - t}{\sqrt{2\theta t}}\right)^2} dx$$

The coefficient of variation,  $\emptyset$ , was set to 0.26 based on previous research <sup>11, 14</sup> (**a-3, a-6**, top). Representative plots of the subjective hazard rates of SSD and T<sub>tone</sub> are shown in **a-3** and **a-6** (red) with associated surprise for SSD in **a-3** (blue). The quantities shown here are based on C-trials, thus corresponding to h(t) <sub>Subjective, C-trials</sub> and s(t) <sub>Subjective, C-trials</sub>.

Dynamic hazard rate was derived from time values incorporating both imprecision in time estimation and the restricted range of possible SSD or T<sub>tone</sub> values based on knowledge of regularities in the preceding intervals experienced <sup>12</sup>. This was important because to ensure that monkeys failed to cancel on ~50% of all stop-signal trials, SSD was adapted dynamically in a staircase algorithm. After noncanceled trials, SSD was decreased. After canceled trials, SSD was increased. To discourage monkeys from exploiting the stair-casing algorithm, SSD was adjusted in steps of 1, 2, or 3 intervals randomly selected with uniform 1/3 probability. Nevertheless, we explored the possibility that monkeys can incorporate this knowledge to predict the timing of the upcoming SSD. A prediction of SSD would influence estimation of T<sub>tone</sub> because T<sub>tone</sub> = 1500 – SSD. The left panel of **a-4** portrays how the preceding trial restricted possible SSD values in the current trial. Separate calculations were done with C-trials and SSseen trials. For h(t)<sub>Dynamic, C-trials</sub> stair-casing knowledge was only incorporated following canceled trials. For h(t)<sub>Dynamic, SSseen</sub> stair-casing knowledge was incorporated for both canceled trials. For all other trials, absolute f(t) was used. Representative plots of the subjective hazard rates of SSD and T<sub>tone</sub> are shown in **a-4** and **a-7** (red, h(t)<sub>Dynamic, C-trials</sub>) with associated surprise for SSD (blue, s(t)<sub>Dynamic, C-trials</sub>). The gray traces in lower panel of **a-4** corresponds to the calculated h(t) and s(t), and the colored portion of the trace corresponds to possible values at the SSDs restricted by the staircasing algorithm.

**b**, Some behavioral and task measures were correlated, but random and systematic variations within and across sessions enabled differentiation. The relationship of neural activity before SSRT and before the tone to each of the behavioral and task measures was compared In a set of mixed-effects models using Bayesian Information Criteria (BIC) <sup>15</sup>. Top two rows of panels plot numerical relationships between indicated measures (y-axis) and p(NC | SSD). Four of the six surprise models are shown. Third and fourth rows plot relationships between indicated measures and surprise s(t) <sub>subjective, SS seen</sub>. Fifth row plots relationships of SSD and four of six stop-signal hazard rate measures to log(SSD). The bottom row plots the relationships of T<sub>tone</sub> and two of three hazard rate measures to log(T<sub>tone</sub>).

#### Supplementary Figure 4 | Conflict Neurons.



**a**, Recruitment and time-depth plots aligned on presentation of the stop-signal (left), SSRT calculated by the method of integration (middle, same as **Fig 3**), and SSRT calculated by the Bayesian Estimation of Ex-Gaussian Stop-Signal reaction time (BEESTS) (right). The patterns supporting the conclusions do not vary with the method of alignment. This neural signal nearly exclusively follows SSRT.

**b**, Normalized population spike-density functions aligned on SSRT (left) and feedback time (right) for canceled (thick) and latency-matched no-stop-signal trials (thin) subsampled from trials with low (lightest), middle (intermediate), and high (darkest)  $p(NC \mid SSD)$ . The scaling of the modulation with SSD and  $p(NC \mid SSD)$  is evident across the sample. Note that the activity of neurons aligned on tone, on no-stop-signal trials during the pre-tone interval can be larger than that on canceled trials because of post-saccadic activity bleeding into this time period.

**c**, Distribution of correlation coefficients of post-SSRT modulation as a function of p(NC | SSD) across neurons. Correlation coefficients were transformed into a normal distribution using Fisher-transformation. The distribution was shifted significantly positively (Two-tailed Wilcoxon test, p = 0.004). The black triangle indicates the mean of the distribution. This plotting format clarifies the correspondence between the modulation patterns of SEF and of brainstem dopamine neurons of monkeys performing saccade countermanding tasks <sup>5</sup>.

#### Supplementary Figure 5 | Event-Timing Neurons.



**a**, Recruitment and time-depth plot aligned on stop-signal (left), SSRT calculated based on the method of integration (middle, same as **Fig 4**), and SSRT by BEESTS (right). The patterns supporting the conclusions reported in the main text do not vary with the method of alignment. This neural signal nearly exclusively follows SSRT and persists until the tone.

**b**, Normalized population spike-density functions aligned on SSRT (left) and feedback time (right) for canceled (thick) and latency-matched no-stop-signal trials (thin) subsampled from trials with low (lightest), middle (intermediate), and high (darkest) SSD. The timing of the modulation across SSD is evident across the sample. Note that the activity of neurons aligned on tone, on no-stop-signal trials during the pre-tone interval can be larger than that on canceled trials because of post-saccadic activity bleeding into this time period.

**c**, Average spike-density function for subset of neurons (n = 84) aligned on feedback tone for short (light gray), medium (dark gray), and long (black) periods until monkeys shifted gaze from the central point or blinked (inset plots distribution of the time of the first saccade or blink following the feedback tone in one session). The temporal dynamics of the tonealigned activity did not depend on the time at which fixation was broken following the feedback tone. This pattern was observed on almost all individual neurons (not shown).

#### Supplementary Figure 6 | Goal Maintenance Neurons



**a**, Recruitment and time-depth plots aligned on stop-signal (left), SSRT calculated based on the method of integration (middle, same as **Fig 5**), and SSRT by BEESTS (right). The patterns supporting the conclusions reported in the main text do not vary with the method of alignment. This neural signal grows after SSRT and persists until after the tone.

**b**, Normalized population spike-density functions aligned on SSRT (left) and feedback time (right) for canceled (thick) and latency-matched no-stop-signal trials (thin) subsampled from trials with low (lightest), middle (intermediate), and high (darkest) SSD. The scaling of the modulation across SSD is evident across the sample.

**c**, Average spike-density function for subset of neurons (n = 54) aligned on feedback tone for short (light gray), medium (dark gray), and long (black) periods until monkeys shifted gaze from the central point or blinked (inset plots distribution of the time of the first saccade or blink following the feedback tone in one session). The temporal dynamics of the tonealigned activity did not depend on the time at which fixation was interrupted following the feedback tone. This pattern was observed on almost all individual neurons (not shown).

**d**, Population spiked-density function on canceled (thick solid), no-stop-signal (thin), and noncanceled (thick dashed) trials. A large proportion of Goal Maintenance neurons were also classified as Loss neurons, with higher activity on unrewarded compared to rewarded trials.

**e**, Rate of change of spiking as a function of SSD for Goal Maintenance (red) and Conflict (yellow) neurons. With longer SSD, Goal Maintenance neurons tended to be less active, but Conflict neurons were more active. The distinction between Goal Maintenance and Conflict neurons on this measure is confirmed in the ROC plot.

**f**, Goal Maintenance neurons with reduced activity when fixation was interrupted. The contrast of neural spiking between trials in which reward was delivered after saccades were canceled and trials when fixation was interrupted by a saccade or a blink before the feedback tone. Matching trials for SSD, 14/54 Goal Maintenance neurons had at least 5 aborted trials. The difference across conditions is plotted as a heat-map (top) with one neuron per row and average  $\pm$  SEM spike density (middle) for successful canceled trials (black) and aborted trials (red), smoothed for visualization purposes, with periods of significant difference (two-tailed Wilcoxon test, p < 0.05, no multiple comparison correction) indicated by black horizontal lines above the SDF plots. The histogram plots the abort times.

#### Supplementary Figure 7 | N2/P3 Characteristics.



**a**, ERP aligned on target presentation (top), and stop-signal (bottom) for early (left), intermediate (middle), and late (right) SSD. At all SSD, the visually-evoked negativity (green dashed line) occurs earlier than the peak of N2 by at least 40 ms. Thus, the N2 is not just a visual response.

**b**, N2 and P3 difference plots for all sessions (top) and sessions from monkey Eu (middle) and X (bottom) with ±50 ms period around the peak shaded. The N2 and P3 exhibited similar features across monkeys. **c**, Cumulative distributions of N2 (left) and P3 (right) peak times aligned on stop-signal (top), SSRT by method of integration (middle) and SSRT by BEESTS (bottom). The distributions are narrower when aligned on stop-signal.

#### Supplementary Figure 8 | N2/P3 relationship with spiking.



Adjusted activity (residualized)

**a**, N2 polarization was predicted by the spiking of SEF neurons that were not modulated around the time of SSRT in L2/3 (Multiple Linear regression (two-tailed) with L2/3 and L5/6 activity as predictors, t(317) = -2.51, p = 0.012) but not L5/6 (left) but was unrelated to the spiking of Goal Maintenance neurons in L2/3 or L5/6 (right).

**b**, Difference of N2 polarization between canceled and latency-matched no-stop-signal trials was predicted by spiking of Conflict (t(117) = -2.63, p = 0.010) and Event timing neurons in L2/3 (t(97) = -3.57, p < 0.001) but not L5/6 and not by spiking of Goal maintenance neurons.

**c**, P3 polarization was unrelated to the spiking of SEF unmodulated neurons, Conflict neurons, or Event timing neurons in L2/3 or L5/6.

**d**, Difference of P3 polarization between canceled and latency-matched no-stop-signal trials was not predicted by spiking of Conflict or Event timing neurons but was predicted by the spiking of Goal maintenance neurons in L2/3 (t(57) = 6.26, p < 0.001) but not in L5/6. The statistics for the Linear regression models for Conflict, Goal Maintenance, and Event Timing neurons are presented in **Supplementary Tables 3, 4, and 5**.

Supplementary Figure 9 | Relationship of SEF modulation to substantia nigra pars compacta and caudate nucleus.



**a**, The mesocortical pathway of macaque monkeys. To infer the temporal relationship between VTA/SNpc and SEF signals, the mesocortical pathway was traced on a sagittal slice from the RH12 dataset (Dataset 6, RH12, Slide 27/56, Sagittal, Nissl; brainmaps.org). The estimated was 55.8 mm. Based on measurements of the conduction velocity of DA axons in rodents and assuming similar values for primates (0.54 m/s<sup>16</sup>; 0.55 m/s<sup>17, 18</sup>; 0.58 m/s<sup>19</sup>), we estimate that the conduction time of a spike from SNpc to SEF is 100.7 ms (average of 103.4 ms, 101.5 ms, 101.5 ms, and 96.3 ms, respectively).

**b**, Modulation times of the SEF neurons and of dopaminergic and striatal neurons. Cumulative distributions for Conflict (gold), Event Timing (blue), and Goal Maintenance (red) neurons in SEF are plotted with the latencies of dorsolateral SNpc neurons (dashed green) and of facilitated (dashed purple) and suppressed (dashed blue) neurons in the head of the caudate nucleus obtained from <sup>5</sup>. Also plotted is the estimated distribution of DA spike arrival times in SEF based on the conduction time from SNpc to SEF (green).

Site	Number penetrations	All neurons	Conflict	Event Timing	Goal Maintenance
np1	6	140	10	29	12
np2	7	142	29	6	8
P1	6	104	12	41	1
P2	6	133	18	6	30
P3	4	56	6	2	3
Total count		575	75	84	54
	test statistics	-	11.62	84.13	39.3
X <sup>2</sup> (4, 575)	p-value	-	0.020	< 0.001	< 0.001
L	ayer	All neurons	Conflict	Event Timing	Goal Maintenance
	L2	34	2	3	7
	L3	114	11	22	19
	L5	88	14	19	6
	L6	39	7	2	1
	_6+	18	2 3		1
Tota	al count	293	36	49	34
$\mathcal{N}(A, 202)$	test statistics	-	4.28	7.33	11.24
X-(4, 293)	p-value	-	0.369	0.120	0.024
L	ayer	All neurons	wit	Event Timir th preTone ra	ng mping
L2/u	pperL3	90		2	
Lowe	er L3/ L5	146		20	
Le	5/L6+	57		3	
Tota	al count	293			
X <sup>2</sup> (2, 293	3) test statistics p-value	-		10.37 0.006	

Supplementary Table 1 | Sampling distributions across recording sites and cortical depth.

Chi-square test for homogeneity was computed for each signal in a 5 (sites or layers) by 2 (with or without the signal) contingency matrix based on the counts of units.

**Top,** Count of Conflict, Event Timing, and Goal Maintenance signals across the 575 neurons sampled from five sites in monkey Eu and monkey X. Three of the five sites were sampled with perpendicular penetrations (Eu: P1, X: P2 and P3) and two were not (Eu: np1, X: np2). The probability of sampling each kind of signal varied significantly across sites.

**Middle,** Count of the neural signals from sites P1, P2 and P3 across cortical depth. Contacts ranged from depth 1 (shallowest) to depth 19 (deepest) <sup>1, 2</sup>. Neurons were assigned to the four cellular cortical layers plus contacts located beyond the L6 boundary. Conflict and Event timing neurons were distributed homogeneously across depth. Goal Maintenance neurons were significantly more concentrated in L2/3 relative to L5/6.

**Bottom,** Chi-square test of laminar homogeneity for Event Timing neurons with pre-tone ramping showing that these neurons were significantly more clustered in lower L3 and L5 (Fig 3b). The boundary between upper and lower L3 and between L5 and L6 are shown in Fig 3b (horizontal dashed lines).

#### Supplementary Table 2 | List of considered models.

Model name	Quantity	Mathematical expression
Conflict	Probability of not canceling	p(NC   SSD)
Error- Likelihood	Probability of not canceling despite seeing the stop-signal	p(NC <sub>error</sub>   SSD) / p(SS <sub>seen</sub>   SSD)
Time-based	Stop-signal delay	SSD log(SSD)
nine-based	Feedback tone time	T <sub>tone</sub> = 1500 - SSD log(T <sub>tone</sub> )

#### Model variants

			Trials used for		Time	
			learning time	Additional task knowledge	perception	Descriptor
			ustibution		Inaccuracy	Descriptor
			canceled trials			h(t) Absolute, C-trials
Stop-signal hazard rate		canceled trials		Weber's law	h(t) Subjective, C-trials	
	Stop-signal hazard		canceled trials	SSD staircasing	Weber's law	h(t) Dynamic, C-trials
		SS seen trials			h(t) Absolute, SS seen	
Hazard Rate		h(t) = f(t) / [1 - F(t)]	SS seen trials		Weber's law	h(t) Subjective, SS seen
			SS seen trials	SSD staircasing	Weber's law	h(t) <sub>Dynamic, SS seen</sub>
	Feedback Tone		canceled trials			h(t) Absolute, C-trials
			canceled trials		Weber's law	h(t) Subjective, C-trials
	hazara rato		canceled trials	T <sub>tone</sub> = 1500 - SSD <sub>current trial</sub>	Weber's law	h(t) Dynamic, C-trials
			canceled trials			s(t) Absolute, C-trials
			canceled trials		Weber's law	s(t) Subjective, C-trials
Surprise	Ston-signal surprise	$e(t) = \log \left[ h(t) \right]$	canceled trials	SSD staircasing	Weber's law	s(t) <sub>Dynamic, C-trials</sub>
Sulplise	Stop-signal sulprise	$S(t) = -109_2[1(t)]$	SS seen trials			s(t) Absolute, SS seen
			SS seen trials		Weber's law	s(t) Subjective, SS seen
			SS seen trials	SSD staircasing	Weber's law	s(t) <sub>Dynamic, SS seen</sub>

The nomenclature, mathematical expression, and descriptor for different models and their variants are shown. Hazard rate and surprise models had different variants based on different assumptions indicated in the table (see **Supplementary Figure 3a**).

Signal	Response Period	BIC	$\Delta$ BIC	Predictor (Z-scaled)	df	β	SE	t	р
		080 10	0	intercept	212	2.61	0.20	13.20	< 0.001
		560.10	Ŭ	p(NC   SSD)	212	0.61	0.14	4.24	< 0.001
		002 50	2 52	intercept	212	2.59	0.20	13.30	< 0.001
		983.59	5.52	p(NC <sub>error</sub>  SSD) / p(SS <sub>seen</sub>   SSD)	212	0.54	0.15	3.72	< 0.001
		091 /0	1 22	intercept	212	2.61	0.20	13.22	< 0.001
		501.40	1.52	SSD	212	0.59	0.14	4.06	< 0.001
		982.23	2 15	intercept	212	2.60	0.20	13.27	< 0.001
		902.25	2.15	log(SSD)	212	0.56	0.14	3.93	< 0.001
		091 /0	1 22	intercept	212	2.61	0.20	13.22	< 0.001
		981.40	1.32	T <sub>tone</sub>	212	-0.59	0.14	-4.06	< 0.001
		981.68	1 (1	intercept	212	2.61	0.20	13.20	< 0.001
	Transient post-		1.01	log(T <sub>tone</sub> )	212	-0.59	0.15	-4.03	< 0.001
Conflict	SSRT	980.86	0.70	intercept	212	2.63	0.20	13.45	< 0.001
			0.78	s(t) absolute, C-trials	212	0.66	0.16	4.11	< 0.001
		001 50	1.49	intercept	212	2.63	0.20	13.41	< 0.001
		981.56		s(t) subjective, C-trials	212	0.63	0.16	4.02	< 0.001
		002.42	2.04	intercept	242	2.62	0.20	13.38	< 0.001
		982.12	2.04	s(t) <sub>dynamic</sub> , C-trials	212	0.63	0.16	3.94	< 0.001
		000.04	0.74	intercept	242	2.60	0.20	13.28	< 0.001
		988.81	8.74	s(t) absolute, SS seen	212	0.48	0.17	2.88	0.0043
		000.05	c 77	intercept	242	2.60	0.20	13.29	< 0.001
		986.85	6.77	s(t) subjective, SS seen	212	0.52	0.16	3.23	0.0014
		005 70	F 60	intercept	242	2.61	0.20	13.32	< 0.001
		985.76	5.69	s(t) <sub>dynamic, SS seen</sub>	212	0.56	0.16	3.40	< 0.001

#### Supplementary Table 3 | Comparison of model fits for Conflict neurons exhibiting post-SSRT facilitation

Statistical outcomes are given for mixed-effects modelling identifying which model best fits the neural modulation of Conflict neurons and behavioral/task parameters. The statistics for the best-fit model (top row, bold text) and competing models (lower rows) are shown. The mixed-effects model allowed for modeling random intercepts grouped by neuron for spiking measures. No consideration for multiple comparison is included in these statistics. This data contributes to the statistics table in Fig. 3d.

Signal	Response Period	BIC	ΔBIC	Predictor (Z-scaled)	df	β	SE	t	р
		1682 60	0	intercept	250	16.19	1.28	12.62	< 0.001
		1002.00	0	log(SSD)	250	0.76	0.24	3.24	0.001
		1685 35	2 71	intercept	250	16.19	1.28	12.61	< 0.001
		1005.55	2.71	SSD	230	0.66	0.24	2.77	0.006
		1690.86	8 21	intercept	250	16.19	1.28	12.67	< 0.001
		1050.00	0.21	h(t) absolute, C-trials	230	-0.41	0.29	-1.41	0.158
		1690 72	7 00	intercept	250	16.19	1.28	12.68	< 0.001
Event pre-SSRT activity Timing	1069.75	7.08	h(t) subjective, C-trials	250	-0.51	0.29	-1.77	0.078	
	1600.60	0.04	intercept	250	16.19	1.28	12.68	< 0.001	
		1690.69	8.04	h(t) <sub>dynamic, C</sub> -trials	250	-0.45	0.30	-1.47	0.142
		1002.00	10.15	intercept	250	16.19	1.28	12.63	< 0.001
		1692.80	10.15	h(t) absolute, SS seen	250	-0.08	0.35	-0.22	0.823
		1691.79	0.14	intercept	050	16.19	1.28	12.68	< 0.001
			9.14	h(t) <sub>subjective, SS seen</sub>	250	-0.37	0.35	-1.03	0.303
		1001 00	8.95	intercept	250	16.19	1.28	12.68	< 0.001
		1691.60		h(t) <sub>dynamic, SS seen</sub>		-0.38	0.34	-1.12	0.264
		670.20	•	intercept	442	12.77	1.79	7.15	< 0.001
		678.20	U	log(T <sub>tone</sub> )	112	0.67	0.20	3.41	< 0.001
		670.00	0.01	intercept	112	12.77	1.79	7.15	< 0.001
		678.29	0.01	T <sub>tone</sub>	112	0.67	0.20	3.41	0.001
Event	pro Topo activity	C01 20	2 00	intercept	110	12.77	1.78	7.16	< 0.001
Timing	pre-rone activity	681.28	3.00	h(t) absolute, C-trials	112	0.58	0.20	2.87	0.005
		602.24	4.00	intercept	112	12.77	1.81	7.05	< 0.001
		682.34	4.06	h(t) subjective, C-trials	112	1.27	0.47	2.68	0.008
		<b>COD CO</b>	4.44	intercept	112	12.77	1.81	7.04	< 0.001
		082.69	4.41	h(t) <sub>dynamic, C-trials</sub>	112	1.24	0.47	2.61	0.010

#### Supplementary Table 4 | Comparison of model fits for Event Timing neurons

Statistical outcomes are given for mixed-effects modelling identifying which model best fits the ramping neural modulation of Event-Timing neurons and behavioral/task parameters. The statistics for the best-fit model for pre-SSRT and pre-Tone activity (upper row, bold text) and respective competing models (lower rows) are shown. The mixed-effects model allowed for modeling random intercepts grouped by neuron for spiking measures. No consideration for multiple comparison is included in these statistics. This data contributes to the statistics tables in Fig. 4d and 4g.

Supplementary T	able 5   Comparison o	f model fits for Go	oal Maintenance neur	ons exhibiting post-SSRT
facilitation				

Signal	<b>Response Period</b>	BIC	$\Delta  \text{BIC}$	Predictor (Z-scaled)	df	β	SE	t	р
			•	intercept	454	6.52	0.73	8.87	< 0.001
			U	s(t) <sub>subjective</sub> , SS seen	151	-0.65	0.17	-3.91	< 0.001
		801 67	1 10	intercept	151	6.54	0.74	8.89	< 0.001
		801.07	4.10	p(NC   SSD)	101	-0.48	0.15	-3.26	0.0013
		805 72	8 16	intercept	151	6.56	0.74	8.90	< 0.001
		805.75	8.10	p(NC <sub>error</sub>  SSD) / p(SS <sub>seen</sub>   SSD)	101	-0.38	0.15	-2.50	0.013366
		799 /15	1 88	intercept	151	6.54	0.73	8.91	< 0.001
		755.45	1.00	SSD	151	-0.53	0.15	-3.62	< 0.001
		800 55	2 98	intercept	151	6.55	0.73	8.91	< 0.001
		000.55	2.50	log(SSD)	101	-0.50	0.14	-3.45	< 0.001
		799.45	1.88	intercept	151	6.54	0.73	8.91	< 0.001
Goal	Sustained post-			T <sub>tone</sub>	191	0.53	0.15	3.62	< 0.001
Maintenance	SSRT	г 799.37	1 90	intercept	151	6.54	0.73	8.91	< 0.001
			1.00	log(T <sub>tone</sub> )	101	0.53	0.15	3.63	< 0.001
		700 50	0.95	intercept	151	6.52	0.73	8.87	< 0.001
		790.32		s(t) absolute, C-trials		-0.59	0.16	-3.71	< 0.001
		700.02	1 45	intercept	151	6.52	0.73	8.88	< 0.001
		799.02	1.45	s(t) subjective, C-trials	151	-0.58	0.16	-3.77	< 0.001
		700 00	1 22	intercept	151	6.52	0.73	8.88	< 0.001
		790.09	1.52	s(t) <sub>dynamic, C-trials</sub>	151	-0.61	0.16	-3.84	< 0.001
		700 47	0.00	intercept	151	6.51	0.74	8.86	< 0.001
		/98.4/	0.90	s(t) absolute, SS seen	151	-0.65	0.17	-3.76	< 0.001
		700.00	0.42	intercept	151	6.52	0.74	8.86	< 0.001
	798.00	0.43	s(t) <sub>dynamic, SS seen</sub>	121	-0.62	0.17	-3.69	< 0.001	

Statistical outcomes are given for mixed-effects modelling identifying which model best fits the neural modulation of Goal Maintenance neurons and behavioral/task parameters. The statistics for the best-fit model (top row, bold text) and competing models (lower rows) are shown. The mixed-effects model allowed for modeling random intercepts grouped by neuron for spiking measures. No consideration for multiple comparison is included in these statistics. This data contributes to the statistics table in Fig. 5d.

Signal	Response Period	BIC		Predictor (Z-scaled)	df	β	SE	t	р
		F2 10	•	intercept	05	-0.120	0.023	-5.470	< 0.001
		-52.10	0	p(NC <sub>error</sub>  SSD) / p(SS <sub>seen</sub>   SSD)	85	0.040	0.016	2.420	0.013
				intercept		-0.124	0.023	-5.397	< 0.001
		-49.75	2.88	p(NC   SSD)	85	0.029	0.016	1.844	0.069
				intercept		-0.124	0.024	-5.243	< 0.001
		-47.26	5.38	SSD	85	0.014	0.016	0.912	0.364
				intercept		-0.124	0.024	-5.215	< 0.001
	N2 post-SSRT	-47.56	5.07	log(SSD)	85	0.017	0.016	1.072	0.28697
				intercept		-0.124	0.024	-5.243	< 0.001
		-47.26	5.38	Ttopo	85	-0.014	0.016	-0.912	0.364
				intercept		-0.124	0.024	-5.247	< 0.001
		-47.22	5.41		85	-0.014	0.016	-0 894	0 374
N2	post-SSRT			intercent		0.011	0.010	5 296	< 0.001
		-49.57	3.06		85	-0.124	0.023	-3.200	< 0.001 0.770
				S(L) absolute, C-trials		-0.005	0.017	-0.294	0.770
		-48.89	3.74	intercept	85	-0.124	0.024	-5.255	< 0.001
			-	s(t) subjective, C-trials		-0.002	0.017	-0.128	0.898
		-18 78	2 85	intercept	85	-0.124	0.023	-5.372	< 0.001
		-40.70	5.05	s(t) <sub>dynamic, C-trials</sub>	65	-0.011	0.017	-0.626	0.533
		46 54	C 12	intercept	05	-0.124	0.021	-5.828	< 0.001
		-46.51	6.1Z	s(t) absolute, SS seen	85	-0.035	0.018	-1.987	0.050
		-46.44		intercept		-0.124	0.022	-5.763	< 0.001
			6.19	s(t) subjective SS seen	85	-0.031	0.018	-1.767	0.081
		-46.77 5		intercept		-0.124	0.022	-5.750	< 0.001
			5.86	s(t)	85	-0.031	0.018	-1 727	0.088
				intercent		0.220	0.02	10.60	< 0.001
		-94.60	0		85	0.230	0.02	2 72	< 0.001
				log(I <sub>tone</sub> )		0.041	0.01	5.72	< 0.001
		-91.73	2.88	intercept	85	0.231	0.022	10.697	< 0.001
				p(NC   SSD)		-0.037	0.011	-3.210	0.002
		-85.21				0.231	0.022	10.693	< 0.001
				p(NC <sub>error</sub>  SSD) / p(SS <sub>seen</sub>   SSD)		-0.022	0.012	-1.746	0.084
		-94.56	0.05	intercept	85	0.231	0.022	10.621	< 0.001
				SSD		-0.041	0.011	-3.717	< 0.001
		-93.02	1.59	intercept	85	0.231	0.022	10.698	< 0.001
				log(SSD)		-0.038	0.011	-3.441	< 0.001
		-94.56	0.05	intercept	85	0.231	0.022	10.621	< 0.001
50	nost CCDT	_		T <sub>tone</sub>		0.041	0.011	3.717	< 0.001
P3	post-SSR1	-88 45	6 16	intercept	85	0.231	0.022	10.639	< 0.001
		00.45	0.10	s(t) absolute, C-trials	05	-0.033	0.012	-2.650	0.010
		00.11	4 40	intercept	05	0.231	0.022	10.628	< 0.001
		-90.11	4.49	s(t) subjective, C-trials	85	-0.035	0.012	-2.869	0.005
				intercept		0.231	0.022	10.612	< 0.001
		-89.92	4.68	s(t) dynamic C-trials	85	-0.035	0.012	-2.836	0.006
				intercept		0.231	0.022	10,585	< 0.001
		-88.84	5.77	s(t)	85	-0.035	0.013	-2 574	0.012
				intercent		0.000	0.013	10 565	< 0.012
		-89.89	4.72		85	0.231	0.022	10.202	< 0.001
				S(L) subjective, SS seen		-0.039	0.013	-2.924	0.004
		-89.71	4.89	Intercept	85	0.231	0.022	10.571	< 0.001
1				s(t) <sub>dynamic, SS seen</sub>		-0.038	0.013	-2.885	0.005

#### Supplementary Table 6 | Comparison of model fits for ERP N2 and P3 components.

Statistical outcomes are given for mixed-effects modelling identifying which model best fits the variation in the N2 and P3 components and behavioral/task parameters. The statistics for the best-fit model (top row, bold text) and competing models (lower rows) are shown. The mixed-effects model allowed for modeling random intercepts grouped by session for ERP measures. No consideration for multiple comparison is included in these statistics. This data contributes to the statistics table in Fig. 6c

Supplementary Table 7 | Multiplexed signals between Error, Gain, and Loss with Conflict, Event Timing, and Goal Maintenance.

			Conflict		Eve	nt Timing	Goal Maintenance		
Signal type	Ν	Percent (%)	Ν	Percent (%)	Ν	Percent (%)	Ν	Percent (%)	
Error signal	61	10.6	10 (5)	13.3	25 (12)	29.8	4 (1)	7.4	
Gain signal	91	15.8	11 (1)	14.7	24 (9)	28.6	4 (0)	7.4	
Loss signal	189	32.9	30 (4)	40.0	14 (3)	16.7	33 (1)	61.1	
Other	234	40.7	32	42.7	33	39.3	15	27.8	
Total Count	575		75		84		54		
$V^{2}(2 N - 575)$		test statistics		1.02		44.86		19.43	
$\Lambda^{-}(3, N - 575)$		p-value		0.791	< 0.001		< 0.001		

Count of Conflict, Event Timing, and Goal Maintenance signals across the 575 neurons relative to previously described Error, Gain and Loss signals<sup>2</sup>. Each of the 3 signals reported in this paper was placed in a contingency matrix based on the counts of previously described neurons conveying the other signals. This contingency matrix was 4 (Error, Gain, Loss, or Neither) by 2 (with or without the signal). The bottom row shows the test statistics for homogeneity based on a chi-square test.

Conflict neurons multiplexed error, gain, and loss signals just in proportion to their incidence of sampling. In contrast, Event timing neurons were significantly less likely than chance to signal Loss and more likely to signal Error and Gain. Goal Maintenance neurons were significantly more likely than chance to multiplex with the Loss signal.

Supplementary	Table 8	Multiple	linear r	regression	results	for r	relationship	between	ERPs	and sp	viking.
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b

ERP	Neuronal Type	Predictor	df	β	SE	t	р
N2	Conflict	Intercept spkUPPER spkLOWER	117	~0 -1.04 0.0135	0.023 0.290 0.296	-0.003 -3.6 0.046	0.997 < 0.001 0.963
N2	Event Timing	Intercept spkUPPER spkLOWER	97	~0 -1.56 0.547	0.028 0.339 0.327	-0.003 -4.60 1.67	0.998 < 0.001 0.097
N2	Goal Maintenance	Intercept spkUPPER spkLOWER	57	~0 -0.652 0.377	0.036 0.510 0.725	0.0022 -1.27 0.521	0.998 0.206 0.605
P3	Event Timing	Intercept spkUPPER spkLOWER	117	~0 -0.157 -0.170	0.024 0.356 0.346	0.0038 0.441 -0.492	0.997 0.660 0.623
P3	Event Timing	Intercept spkUPPER spkLOWER	97	~0 -0.521 -0.342	0.031 0.437 0.441	0.0005 -1.193 -0.775	0.999 0.236 0.440
P3	Goal Maintenance	Intercept spkUPPER spkLOWER	57	~0 2.09 0.61	0.029 0.383 0.422	0.011 5.46 1.47	0.991 < 0.001 0.148
ERP	Neuronal Type	Predictor	df	β	SE	t	р
				•			
N2	Conflict	Intercept spkUPPER spkLOWER	117	~0 -0.84 -0.299	0.024 0.319 0.328	-0.011 -2.63 -0.911	0.991 0.0098 0.364
N2 N2	Conflict Event Timing	Intercept spkUPPER spkLOWER Intercept spkUPPER spkLOWER	97	~0 -0.84 -0.299 ~0 -1.11 0.502	0.024 0.319 0.328 0.029 0.310 0.349	-0.011 -2.63 -0.911 -0.015 -3.57 1.44	0.991 0.0098 0.364 0.988 < 0.001 0.153
N2 N2 N2	Conflict Event Timing Goal Maintenance	Intercept spkUPPER spkLOWER Intercept spkUPPER spkLOWER Intercept spkUPPER spkLOWER	117 97 57	~0 -0.84 -0.299 ~0 -1.11 0.502 ~0 -0.397 0.631	0.024 0.319 0.328 0.029 0.310 0.349 0.036 0.527 0.781	-0.011 -2.63 -0.911 -0.015 -3.57 1.44 0.0029 -0.754 0.807	0.991 0.0098 0.364 0.988 < 0.001 0.153 0.998 0.454 0.423
N2 N2 N2 P3	Conflict Event Timing Goal Maintenance Event Timing	Intercept spkUPPER spkLOWER Intercept spkUPPER spkLOWER Intercept spkUPPER spkLOWER	117 97 57 117	~0 -0.84 -0.299 ~0 -1.11 0.502 ~0 -0.397 0.631 ~0 -0.129 -0.216	0.024 0.319 0.328 0.029 0.310 0.349 0.036 0.527 0.781 0.024 0.337 0.376	-0.011 -2.63 -0.911 -0.015 -3.57 1.44 0.0029 -0.754 0.807 0.0026 0.382 -0.575	0.991 0.0098 0.364 0.988 < 0.001 0.153 0.998 0.454 0.423 0.998 0.703 0.566
N2 N2 N2 P3 P3	Conflict Event Timing Goal Maintenance Event Timing Event Timing	Intercept spkUPPER spkLOWER Intercept spkUPPER spkLOWER Intercept spkUPPER spkLOWER Intercept spkUPPER spkLOWER Intercept spkUPPER spkLOWER	117 97 57 117 97	~0 -0.84 -0.299 ~0 -1.11 0.502 ~0 -0.397 0.631 ~0 -0.129 -0.216 ~0 -0.460 -0.621	0.024 0.319 0.328 0.029 0.310 0.349 0.036 0.527 0.781 0.031 0.024 0.337 0.376 0.031 0.422 0.484	-0.011 -2.63 -0.911 -0.015 -3.57 1.44 0.0029 -0.754 0.807 0.0026 0.382 -0.575 -0.0018 -1.09 -1.28	0.991 0.0098 0.364 0.988 < 0.001 0.153 0.998 0.454 0.423 0.998 0.703 0.566 0.999 0.279 0.202

a, Results based on canceled trials with scatterplots in Figs 6f-g and Supplementary Figure 8a & 8c. b, Results based on activity difference between canceled and latency-matched no-stop-signal trials with scatterplots in Supplementary Fig 8b,d. In each model ERP voltage was the response variable and spike rate in the upper layers L2/3 (spkUPPER) and lower layers L5/6 (spkLOWER) was the predictor. No consideration for multiple comparison is needed in these statistics.

#### Supplementary Table 9 | Comparisons of modulation times between neurons in SEF, caudate, and VTA.

	Conflict (90.4 ± 8.5 ms. n = 75)	<b>Event Timing</b> (69.8 ± 6.3 ms. n = 84)	Goal Maintenance (117.7 ± 11.3 ms. n = 54)
<b>SNpc</b> (32.5 ± 8.1 ms, n = 25)	p < 0.001 *	p = 0.063	p < 0.001*
SNpc + mesocortical latency (133.1 ± 8.1 ms, n = 25)	p = 0.012*	p < 0.001 *	p = 0.578
Caudate (Facilitation) (114.4 ± 15.8 ms, n = 40)	p > 0.999	p = 0.123	p > 0.999
Caudate (Suppression) (116.2 ± 11.3 ms, n = 61)	p = 0.807	p = 0.005*	p > 0.999

One-way ANOVA, Onset Latency | Neuron Class, F(6, 249.897) = 8.291, p < 0.001Tukey post-hoc comparisons (\* = significant at adjusted p < 0.05 level).

Mean ± SEM latencies for type of neurons are shown beneath the cell type labels. A one-way independent measures ANOVA showed significant differences in modulation latencies between the different neuron types in SEF and the SNpc and caudate neurons (F(6,249.897) = 8.291, p < 0.001). The table reports outcomes of Dunn-adjusted Bonferroni posthoc comparisons with statistically significant differences marked by an asterisk. SNpc DA neurons modulated significantly earlier than conflict (p < 0.001) and goal maintenance (p < 0.001) neurons but were not different from event timing neurons (p = 0.063). However, the estimated arrival times of DA spikes in SEF were not different from the modulation times of goal maintenance (p = 0.578) neurons but were significantly later than the modulation of event timing (p < 0.001) or conflict (p = 0.012) neurons. Meanwhile, although suppression after SSRT in the caudate nucleus arose significantly later than the modulation of event timing neurons in SEF (suppression p = 0.005), other caudate activity occurred simultaneously with event timing (facilitation p = 0.123), conflict (facilitation p > 0.999; suppression p = 0.807) and goal maintenance (facilitation p > 0.999; suppression p > 0.999) neurons.

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